



**Gauriganga Municipality**  
**Office of Municipal Executive**  
**Chaumala, Kailali**

**Request for Proposal (RFP)**

For

**The Consulting Services for Initial Environment Examination (IEE) of the  
Sustainable extraction of river bed materials (Stone, Sand, Gravel and  
Boulder) from Shivaganga, Gauriganga, Kandra, Chaumala, Likma (Seti  
and Matkauna) and Andaiya Rivers of Gauriganga Municipality,  
Chaumala, Kailali**

**RFP No: - GGM/CS/01/2083/84**

**Issued on: 2083-04-19**

**RFP No: - GGM/CS/01/2083/084**



## **TABLE OF CONTENTS**

**Section 1 – Letter of Invitation**

**Section 2 – Instructions to Consultants and Data Sheet**

**Section 3 – Technical Proposal – Standard Forms**

**Section 4 – Financial Proposal – Standard Forms**

**Section 5 – Eligible Countries**

**Section 6 – Corrupt and Fraudulent Practices**

**Section 7–Terms of Reference**

**Section 8– Conditions of Contract and Contract Forms**



---

# TABLE OF CLAUSES

## **PART I**

### **Section 1. Letter of Invitation**

### **Section 2. Instructions to Consultants and Data Sheet**

#### **A. General Provisions**

- 1. Definitions
- 2. Introduction
- 3. Conflict of Interest
- 4. Unfair Competitive Advantage
- 5. Corrupt and Fraudulent Practices
- 6. Eligibility

#### **B. Preparation of Proposals**

- 7. General Considerations
- 8. Cost of Preparation of Proposal
- 9. Language
- 10. Documents Comprising the Proposal
- 11. Only One Proposal
- 12. Proposal Validity
- 13. Clarification and Amendment of RFP
- 14. Preparation of Proposals – Specific Considerations
- 15. Technical Proposal Format and Content
- 16. Financial Proposal

#### **C. Submission, Opening and Evaluation**

- 17. Submission, Sealing, and Marking of Proposals
- 18. Confidentiality
- 19. Opening of Technical Proposals
- 20. Proposals Evaluation
- 21. Evaluation of Technical Proposals
- 22. Financial Proposals for QBS
- 23. Public Opening of Financial Proposals (for QCBS, FBS, and LCS methods)
- 24. Correction of Errors
- 25. Taxes
- 26. Conversion to Single Currency
- 27. Combined Quality and Cost Evaluation

#### **28. Negotiations**

- 29. Conclusion of Negotiations
- 30. Award of Contract

#### **D. Negotiations and Award**

#### **E. Data Sheet**

### **Section 3. Technical Proposal – Standard Forms**

- Form TECH-1
- Form TECH-2
- Form TECH-3
- Form TECH-4
- Form TECH-5
- Form TECH-6



---

Form TECH-7

**Section 4. Financial Proposal - Standard Forms**

**Section 5. Eligible Countries**

**Section 6. Corrupt and Fraudulent Practices**

**Section 7. Terms of Reference**

**PART II**

**Section 8. Conditions of Contract and Contract Forms**

**Preface**

**I. Form of Contract**

**II. General Conditions of Contract**

**III. Special Conditions of Contract**

**IV. Appendices**

## Introduction and Instructions

### 1. Instruction to Consultant

The consultant's work completed during three to five years will be considered while evaluating the firms' experiences. Technical Proposal shall be evaluated on the basis of information duly provided by the Consultant. Information must be supported by relevant evidences such as Certificates, official letters, bills, vouchers and necessary commitments wherever applicable. The Curriculum vitae must be supported with relevant academic certificates otherwise marks will not be granted. The consulting firm must include a team leader having minimum qualification mentioned in the evaluation criteria. He/ she must have educational qualification and minimum job experience of 3 to 5 years as described in the evaluation criteria. Failing to provide a team leader having these minimum qualification will result in the proposal submitted by **the consulting firm invalid and will not be evaluated**. If other experts designated for the proposed assignment does not meet the minimum criteria, consultant's proposal shall still be considered valid and shall duly be evaluated. However if the proposed staffs' quality lacks the minimum criteria, the expert will be awarded zero score. Still if the firm wins the contract, the expert should be replaced with qualified expert. The consultant can form a JV with maximum of three partners only. The consultant should duly sign and stamped in all submitted documents.

### 2. Instruction for the technical and financial proposal

The proposal shall contain two sealed envelopes:

- a. One sealed envelope with the technical proposal with clear indication of the name of the consulting firm and project name, marked "TECHNICALPROPOSAL"
- b. One sealed envelope with the financial proposal with clear indication of the name of the consulting firm and project name, marked "FINANCIAL PROPOSAL"

Both envelopes shall be sealed in a single envelop and each envelops shall be duly signed and stamped by consultant. Only those financial proposals of the firm shall be evaluated whose technical proposals scores will be equal or above than 60% marks of the total allocated marks in technical proposal. Soundness of the technical and financial proposal shall form a basis for the selection.

#### Technical proposal shall contain

- i. Brief description of the Consultant's organization and experience on similar assignments and include a description of each assignments in the format provided in the TOR.
- ii. Comments or suggestion on the TOR, services and facilities to be provided
- iii. Methodology and work plan with professionals inputs
- iv. A description of the project team organization, a list of proposed professionals by specialty and their assign tasks
- v. CVs recently signed in **blue indelible ink** by proposed professional personnel
- vi. Estimates of total professionals and support personnel input needed to carry out the assignment supported by a work plan and a manning

- 
- schedule showing the field and office time proposed for each team member
- vii. Description of the equipment which the Consultant will apply in the assignment
- viii. Technical proposal shall not disclose any information about the financial proposal

***Along with the bio data, the copies of certificates of degrees, training certificates attained must be submit as justification documents. For engineers and planners, the Nepal engineering council registration certificate should also be submitted.***

Required professional and support staff as per schedule 13 of EPR 2077. The qualifications and experiences of the professional personnel should meet the minimum criteria as mentioned in the evaluation criteria for technical proposal.

# Request for Proposals

*Country:* Nepal

**Title of Consulting Services:** Consulting Services for Initial Environment Examination (IEE) of the Sustainable extraction of river bed materials (Sand, Stone, Gravel and Boulder) from rivers Shivaganga, Gauriganga, Kandra, Chaumala, Likma (Seti and Matkauna) and Andaiya Rivers of Gauriganga Municipality, Chaumala, Kailali



प्रमुख प्रशासकिय अधिकृत



3-9

## **Gauriganga Municipality**

Office of Municipal Executive  
Sudurpaschim Pradesh, Nepal

**RFP No: - GGM/CS/01/2083/084**

**Date: 2083-04-19**

To,  
**Concerned Stake Holders**

Attention: Mr./ Ms:

Dear Sir,

***Request for Proposal for the The Consulting Services for Initial Environment Examination (IEE) for the Sustainable extraction of river bed materials (Sand, Stone, Gravel and Boulder) from Shivaganga, Gauriganga, Kandra, Chaumala, Likma (Seti and Matkauna) and Andaiya Rivers of Gauriganga Municipality, Chaumala, Kailali.***

1. Gauriganga Municipality Office now invites proposals for **the Consulting Services for Initial Environment Examination (IEE) of the Sustainable extraction of river bed materials (Sand, Stone, Gravel and Boulder) from rivers Shivaganga, Gauriganga, Kandra, Chaumala, Likma (Seti and Matkauna) and Andaiya Rivers of Gauriganga Municipality, Chaumala, Kailali.**

Details of the services are provided in the Terms of Reference (TOR).

2. The Request for Proposal (RFP) has been addressed **to all eligible stakeholders.**

3. A Consultant will be selected under Quality and Cost Based Selection (**QCBS**) and procedures described in this RFP/TOR.

4. The RFP includes the following documents:

Section 1 - Letter of Invitation

Section 2 - Instructions to Consultants and Data Sheet

Section 3 - Technical Proposal - Standard Forms

Section 4 - Financial Proposal - Standard Forms

Section 5 – Eligible Countries

Section 6 – GoN/DP's Policy – Corrupt and Fraudulent Practices

Section 7 - Terms of Reference

Section 8 - Standard Forms of Contract

5. Your Proposal should be submitted in [1] copy and the deadline for submission is: 2083/05/03, 12 pm

6. In case of a joint venture (JV), the Consultant should submit the joint venture agreement. The Consultant must submit the power of attorney to sign and submit the proposals by designated authorized representatives of the firms in the JV. The composition and share structures of the JV shall be the same as proposed during the Expression of Interest (EOI).

7. Please inform us, upon receipt:

(a) ) that you received the letter of invitation; and

(b) whether your firm/Joint venture will submit a proposal, or,

E-mail: [info.gaurigangamun@gmail.com](mailto:info.gaurigangamun@gmail.com)

8. Details on the proposal's submission date, time and address are provided in Clauses 17.8 of the ITC.

Yours sincerely,

Bhakta Raj Joshi

Chief Administrative Officer



## Section 2. Instructions to Consultants and Data Sheet

["Notes to the Client": this Section 2 - Instructions to Consultants shall not be modified. Any necessary changes to address specific country and project issues, to supplement, but not over-write, the provisions of the Instructions to Consultants (ITC), shall be introduced through the Data Sheet only. "Notes to the Client" should be deleted from the final RFP issued to the shortlisted Consultants].

### A. General Provisions

|                              |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
|------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <p><b>1. Definitions</b></p> | <ul style="list-style-type: none"> <li>(a). "Affiliate(s)" means an individual or an entity that directly or indirectly controls, is controlled by, or is under common control with the Consultant.</li> <li>(b). "Applicable Guidelines" means the policies of the Development Partner (DP) governing the selection and Contract award process, in case of DP funded project. "Applicable Law" means the laws and any other instruments having the force of law in Nepal as they may be issued and in force from time to time.</li> <li>(c). "Borrower [or Recipient or Beneficiary]" means the Government, Government agency or other entity that signs the financing [or loan/credit/grant/project] agreement with the Development Partner.</li> <li>(d). "Client" means the <i>[procuring entity/implementing/ executing agency]</i> that signs the Contract for the Services with the selected Consultant.</li> <li>(e). "Consultant" means a legally-established professional consulting firm or an entity that may provide or provides the Services to the Client under the Contract.</li> <li>(f). "Contract" means a legally binding written agreement signed between the Client and the Consultant and includes all the attached documents listed in its Clause 1 (the General Conditions of Contract (GCC), the Special Conditions of Contract (SCC), and the Appendices).</li> <li>(g). "Data Sheet" means an integral part of the Instructions to Consultants (ITC) Section 2 that is used to reflect specific assignment conditions to supplement, but not to over-write, the provisions of the ITC.</li> <li>(h). "Day" means a calendar day.</li> <li>(i). "Development Partner (DP)" means the country/institution funding the project as specified in the Data Sheet.</li> <li>(j). "Experts" means, collectively, Key Experts, Non-Key Experts, or any other personnel of the Consultant, Sub-consultant or Joint Venture member(s).</li> <li>(k). "Government" means the government of the Nepal.</li> <li>(l). "Joint Venture (JV)" means an association with or without a legal personality distinct from that of its members, of more than one Consultant where one member has the authority to conduct all business for and on behalf of any and all the members of the JV, and where the members of the JV are jointly and severally liable to the Client for the performance of the Contract.</li> <li>(m). "Key Expert(s)" means an individual professional whose skills, qualifications, knowledge and experience are critical to the performance of the Services under the Contract and whose CV is taken into account in the technical evaluation of the Consultant's proposal.</li> </ul> |
|------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

|                                |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
|--------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                                | <p>(n). "ITC" (this Section 2 of the RFP) means the Instructions to Consultants that provides the shortlisted Consultants with all information needed to prepare their Proposals.</p> <p>(o). "LOI" (Section 1 of the RFP) means the Letter of Invitation being sent by the Client to the shortlisted Consultants.</p> <p>(p). "Non-Key Expert(s)" means an individual professional provided by the Consultant or its Sub-consultant and who is assigned to perform the Services or any part thereof under the Contract and whose CVs are not evaluated individually.</p> <p>(q). "Proposal" means the Technical Proposal and the Financial Proposal of the Consultant.</p> <p>(r). "RFP" means the Request for Proposals prepared by the Client for the selection of Consultants, based on the SRFP.</p> <p>(s). "SRFP" means the Standard Request for Proposals issued by PPMO, which must be used by the Public Entity as the basis for the preparation of the RFP.</p> <p>(t). "Services" means the work to be performed by the Consultant pursuant to the Contract.</p> <p>(u). "Sub-consultant" means an entity to whom the Consultant intends to subcontract any part of the Services while remaining responsible to the Client during the performance of the Contract.</p> <p>(v). "TORs" (this Section 7 of the RFP) means the Terms of Reference that explain the objectives, scope of work, activities, and tasks to be performed, respective responsibilities of the Client and the Consultant, and expected results and deliverables of the assignment.</p> |
| <b>2. Introduction</b>         | <p>2.1 The Client named in the <b>Data Sheet</b> intends to select a Consultant from those listed in the Letter of Invitation, in accordance with the method of selection specified in the <b>Data Sheet</b>.</p> <p>2.2 The shortlisted Consultants are invited to submit a Technical Proposal and a Financial Proposal, or a Technical Proposal only, as specified in the <b>Data Sheet</b>, for consulting services required for the assignment named in the <b>Data Sheet</b>. The Proposal will be the basis for negotiating and ultimately signing the Contract with the selected Consultant.</p> <p>2.3 The Consultants should familiarize themselves with the local conditions and take them into account in preparing their Proposals, including attending a pre-proposal conference if one is specified in the <b>Data Sheet</b>. Attending any such pre-proposal conference is optional and is at the Consultants' expense.</p> <p>2.4 The Client will timely provide, at no cost to the Consultants, the inputs, relevant project data, and reports required for the preparation of the Consultant's Proposal as specified in the <b>Data Sheet</b>.</p>                                                                                                                                                                                                                                                                                                                                                                                                     |
| <b>3. Conflict of Interest</b> | <p>3.1 The Consultant is required to provide professional, objective, and impartial advice, at all times holding the Client's interests paramount, strictly avoiding conflicts with other assignments or its own corporate interests, and acting without any consideration for future work.</p> <p>The Consultant has an obligation to disclose to the Client any situation of actual or potential conflict that impacts its capacity to serve the best interest of its Client. Failure to disclose such situations may lead to the</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |

|                                            |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
|--------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                                            | <p>disqualification of the Consultant or the termination of its Contract and/or blacklisting by the Public Procurement Monitoring Office/DP.</p> <p>Without limitation on the generality of the foregoing, and unless stated otherwise in the <b>Data Sheet</b>, the Consultant shall not be hired under the circumstances set forth below:</p>                                                                                                                                                                                                                                                                                                                                                                                                     |
| <b>a. Conflicting activities</b>           | <p>(i) <u>Conflict between consulting activities and procurement of goods, works or non-consulting services</u>: a firm that has been engaged by the Client to provide goods, works, or non-consulting services for a project, or any of its Affiliates, shall be disqualified from providing consulting services resulting from or directly related to those goods, works, or non-consulting services. Conversely, a firm hired to provide consulting services for the preparation or implementation of a project, or any of its Affiliates, shall be disqualified from subsequently providing goods or works or non-consulting services resulting from or directly related to the consulting services for such preparation or implementation.</p> |
| <b>b. Conflicting assignments</b>          | <p>(ii) <u>Conflict among consulting assignments</u>: a Consultant (including its Experts and Sub-consultants) or any of its Affiliates shall not be hired for any assignment that, by its nature, may be in conflict with another assignment of the Consultant for the same or for another Client.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                             |
| <b>c. Conflicting relationships</b>        | <p>(iii) <u>Relationship with the Client's staff</u>: a Consultant (including its Experts and Sub-consultants) that has a close business or family relationship with a professional staff of the Client or are directly or indirectly involved in any part of (i) the preparation of the Terms of Reference for the assignment, (ii) the selection process for the Contract, or (iii) the supervision of the Contract, may not be awarded a Contract.</p>                                                                                                                                                                                                                                                                                           |
| <b>4. Unfair Competitive Advantage</b>     | <p>4.1 Fairness and transparency in the selection process require that the Consultants or their Affiliates competing for a specific assignment do not derive a competitive advantage from having provided consulting services related to the assignment in question. To that end, the Client shall indicate in the <b>Data Sheet</b> and make available to all shortlisted Consultants together with this RFP all information that would in that respect give such Consultant any unfair competitive advantage over competing Consultants.</p>                                                                                                                                                                                                      |
| <b>5. Corrupt and Fraudulent Practices</b> | <p>5.1 The GoN/DP requires compliance with its policy in regard to corrupt and fraudulent/prohibited practices as set forth in Section 6.</p> <p>5.2 In further pursuance of this policy, Consultant shall permit and shall cause its sub-consultants and sub-contractors to permit GoN/DP or its representatives to inspect the accounts, records and other documents relating to the submission of the Proposal and execution of the contract, in case of award, and to have the accounts and records audited by auditors appointed by the GoN/DP.</p> <p>5.3 Consultants shall be aware of the provisions on fraud and corruption stated in Clause GCC 10.1.</p>                                                                                 |
| <b>6. Eligibility</b>                      | <p>6.1 The GoN/DP permits consultants (individuals and firms, including Joint Ventures and their individual members) from the eligible countries as</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |

|                                              |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
|----------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                                              | <p>stated in Section 5 to offer consulting services for GoN/DP-financed projects.</p> <p>6.2 Furthermore, it is the Consultant's responsibility to ensure that its Experts, joint venture members, Sub-consultants, agents (declared or not), sub-contractors, service providers, suppliers and/or their employees meet the eligibility requirements as established by the GoN/DP. Maximum number of partners in JV shall be Specified in <b>Data sheet</b>.</p> <p>6.3 As an exception to the foregoing Clauses 6.1 and 6.2 above:</p>                        |
| <b>a. Sanctions</b>                          | <p>6.3.1 In case of a natural person or firm/institution/company which is already declared blacklisted and ineligible by the GoN, any other new or existing firm/institution/company owned partially or fully by such Natural person or Owner or Board of director of blacklisted firm/institution/company, shall not be eligible consultant. The list of debarred firms and individuals is available at the electronic address specified in the <b>Data Sheet</b>.</p>                                                                                        |
| <b>b. Prohibitions</b>                       | <p>6.3.2 Firms and individuals shall have the nationality of an eligible countries as indicated in Section 5 (Eligible Countries) and:</p> <p>(a) as a matter of law or official regulations, Nepal prohibits commercial relations with that country; or</p> <p>(b) by an act of compliance with a decision of the United Nations Security Council taken under Chapter VII of the Charter of the United Nations, the Borrower's Country prohibits any import of goods from that country or any payments to any country, person, or entity in that country.</p> |
| <b>c. Restrictions for public employees</b>  | <p>6.3.3 Government officials and civil servants may only be hired under consulting contracts, either as individuals or as members of a team of a consulting firm, if permitted under GoN/DP policy, and their employment would not create a conflict of interest).</p>                                                                                                                                                                                                                                                                                        |
| <b>B. Preparation of Proposals</b>           |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
| <b>7. General Considerations</b>             | <p>7.1 In preparing the Proposal, the Consultant is expected to examine the RFP in detail. Material deficiencies in providing the information requested in the RFP may result in rejection of the Proposal.</p>                                                                                                                                                                                                                                                                                                                                                |
| <b>8. Cost of Preparation of Proposal</b>    | <p>8.1 The Consultant shall bear all costs associated with the preparation and submission of its Proposal, and the Client shall not be responsible or liable for those costs, regardless of the conduct or outcome of the selection process. The Client is not bound to accept any proposal, and reserves the right to annul the selection process at any time prior to Contract award, without thereby incurring any liability to the Consultant.</p>                                                                                                         |
| <b>9. Language</b>                           | <p>9.1 The Proposal, as well as all correspondence and documents relating to the Proposal exchanged between the Consultant and the Client, shall be written in the English language.</p>                                                                                                                                                                                                                                                                                                                                                                       |
| <b>10. Documents Comprising the Proposal</b> | <p>10.1 The Proposal shall comprise the documents and forms listed in the <b>Data Sheet</b>.</p> <p>10.2 The Consultant shall furnish information on commissions, gratuities and fees, if any, paid or to be paid to agents or any other party relating to this Proposal and, if awarded, Contract execution, as requested in the Financial Proposal submission form (Section 4).</p>                                                                                                                                                                          |

|                                                             |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
|-------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>11. Only One Proposal</b>                                | 11.1 The Consultant (including the individual members of any Joint Venture) shall submit only one Proposal, either in its own name or as part of a Joint Venture in another Proposal. If a Consultant, including any Joint Venture member, submits or participates in more than one proposal, all such proposals shall be disqualified and rejected. This does not, however, preclude a Sub-consultant, or the Consultant's staff from participating as Key Experts and Non-Key Experts in more than one Proposal when circumstances justify and if stated in the <b>Data Sheet</b> .                                                                                                                                                                                                                           |
| <b>12. Proposal Validity</b>                                | 12.1 The <b>Data Sheet</b> indicates the period during which the Consultant's Proposal must remain valid after the Proposal submission deadline.<br>12.2 During this period, the Consultant shall maintain its original Proposal without any change, including the availability of the Key Experts, the proposed rates and the total price.<br>12.3 If it is established that any Key Expert nominated in the Consultant's Proposal was not available at the time of Proposal submission or was included in the Proposal without his/her confirmation, such Proposal shall be disqualified and rejected for further evaluation, and may be subject to blacklisting in accordance with Clause 5 of this ITC.                                                                                                     |
| <b>a. Extension of Validity Period</b>                      | 12.4 The Client will make its best effort to complete the negotiations within the proposal's validity period. However, should the need arise, the Client may request, in writing, all Consultants who submitted Proposals prior to the submission deadline to extend the Proposals' validity.<br>12.5 If the Consultant agrees to extend the validity of its Proposal, it shall be done without any change in the original Proposal and with the confirmation of the availability of the Key Experts. <b>The Consultant shall not include any additional conditions against the provisions specified in RFP, while extending the validity of its Proposal.</b><br>12.6 The Consultant has the right to refuse to extend the validity of its Proposal in which case such Proposal will not be further evaluated. |
| <b>b. Substitution of Key Experts at Validity Extension</b> | 12.7 If any of the Key Experts become unavailable for the extended validity period, the Consultant shall provide a written adequate justification and evidence satisfactory to the Client together with the substitution request. In such case, a replacement Key Expert shall have equal or better qualifications and experience than those of the originally proposed Key Expert. The technical evaluation score, however, will remain to be based on the evaluation of the CV of the original Key Expert.<br>12.8 If the Consultant fails to provide a replacement Key Expert with equal or better qualifications, or if the provided reasons for the replacement or justification are unacceptable to the Client, such Proposal will be rejected.                                                           |
| <b>c. Sub-Contracting</b>                                   | 12.9 The Consultant shall not subcontract the whole of the Services unless otherwise indicated in the <b>Data Sheet</b> .                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
| <b>13. Clarification and Amendment of RFP</b>               | 13.1 The Consultant may request a clarification of any part of the RFP during the period indicated in the <b>Data Sheet</b> before the Proposals' submission deadline. Any request for clarification must be sent in writing, or by standard electronic means, to the Client's address indicated in the <b>Data</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |



|                                                               |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
|---------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                                                               | <p><b>Sheet.</b> The Client will respond in writing, or by standard electronic means, and will send written copies of the response (including an explanation of the query but without identifying its source) to all shortlisted Consultants. Should the Client deem it necessary to amend the RFP as a result of a clarification, it shall do so following the procedure described below:</p> <p>13.1.1 At any time before the proposal submission deadline, the Client may amend the RFP by issuing an amendment in writing or by standard electronic means. The amendment shall be sent to all shortlisted Consultants and will be binding on them. The shortlisted Consultants shall acknowledge receipt of all amendments in writing.</p> <p>13.1.2 If the amendment is substantial, the Client may extend the proposal submission deadline to give the shortlisted Consultants reasonable time to take an amendment into account in their Proposals.</p> <p>13.1.3 The Consultant may submit a modified Proposal or a modification to any part of it at any time prior to the proposal submission deadline. No modifications to the Technical or Financial Proposal shall be accepted after the deadline.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
| <b>14. Preparation of Proposals – Specific Considerations</b> | <p>14.1 While preparing the Proposal, the Consultant must give particular attention to the following:</p> <p>14.1.1 If a shortlisted Consultant considers that it may enhance its expertise for the assignment by associating with other consultants in the form of a Joint Venture or as Sub-consultants, it may do so with either (a) non-shortlisted Consultant(s), or (b) shortlisted Consultants if permitted in the <b>Data Sheet</b>. In all such cases a shortlisted Consultant must obtain the written approval of the Client prior to the submission of the Proposal. When associating with non-shortlisted firms in the form of a joint venture or a sub-consultancy, the shortlisted Consultant shall be a lead member.</p> <p>14.1.2 The Client may indicate in the <b>Data Sheet</b> the estimated Key Experts' time input (expressed in person-month) or the Client's estimated total cost of the assignment. This estimate is indicative and the Proposal shall be based on the Consultant's own estimates for the same.</p> <p>14.1.3 If stated in the <b>Data Sheet</b>, the Consultant shall include in its Proposal at least the same time input (in the same unit as indicated in the <b>Data Sheet</b>) of Key Experts, failing which the Financial Proposal will be adjusted for the purpose of comparison of proposals and decision for award in accordance with the procedure in the <b>Data Sheet</b>.</p> <p>14.1.4 For assignments under the Fixed-Budget selection method, the estimated Key Experts' time input is not disclosed. Total available budget, with an indication whether it is inclusive or exclusive of taxes, is given in the <b>Data Sheet</b>, and the Financial Proposal shall not exceed this budget.</p> |
| <b>15. Technical Proposal Format</b>                          | <p>15.1 The Technical Proposal shall not include any financial information. A Technical Proposal containing material financial information shall be</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |

|                                                          |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
|----------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>and Content</b>                                       | declared non-responsive.<br><br>15.2 Only one curriculum vitae (CV) may be submitted for each key expert. If a technical proposal nominates more than one expert for a position, the Client will evaluate all CVs and apply the lowest score for the position.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
| <b>16. Financial Proposal</b>                            | 16.1 The Financial Proposal shall be prepared using the Standard Forms provided in Section 4 of the RFP. It shall list all costs associated with the assignment, including (a) remuneration for Key Experts and Non-Key Experts, (b) other expenses, (c) provisional sums when applicable indicated in the <b>Data Sheet</b> .                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
| <b>a. Price Adjustment</b>                               | 16.2 For assignments with a duration exceeding 12 months, a price adjustment provision for foreign and/or local inflation for remuneration rates applies if so stated in the <b>Data Sheet</b> .                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
| <b>b. Taxes</b>                                          | 16.3 The Consultant and its Sub-consultants and Experts are responsible for meeting all tax liabilities arising out of the Contract. Information on taxes in the Client's country is provided in the <b>Data Sheet</b> .                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
| <b>c. Currency of Proposal</b>                           | 16.4 The Consultant may express the price for its Services in the currency or currencies as stated in the <b>Data Sheet</b> . If indicated in the <b>Data Sheet</b> , the portion of the price representing local cost shall be stated in the Nepalese Rupees.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
| <b>d. Currency of Payment</b>                            | 16.5 Payment under the Contract shall be made in the currency or currencies in which the payment is requested in the Proposal.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
| <b>C. Submission, Opening and Evaluation</b>             |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
| <b>17. Submission, Sealing, and Marking of Proposals</b> | <p>17.1 The Consultant shall submit a signed and complete Proposal comprising the documents and forms in accordance with Clause 10 (Documents Comprising Proposal). The submission can be done by mail or by hand. If specified in the <b>Data Sheet</b>, the Consultant has the option of submitting its Proposals electronically.</p> <p>17.2 An authorized representative of the Consultant shall sign the original submission letters in the required format for both the Technical Proposal and, if applicable, the Financial Proposals and shall initial all pages of both. The authorization shall be in the form of a written power of attorney attached to the Technical Proposal.</p> <p>17.3 A Proposal submitted by a Joint Venture shall be signed by all members so as to be legally binding on all members, or by an authorized representative who has a written power of attorney signed by each member's authorized representative.</p> <p>17.4 Any modifications, revisions, interlineations, erasures, or overwriting shall be valid only if they are signed or initialed by the person signing the Proposal.</p> <p>17.5 The signed Proposal shall be marked "ORIGINAL", and its copies marked "COPY" as appropriate. The number of copies is indicated in the <b>Data Sheet</b>. All copies shall be made from the signed original. If there are discrepancies between the original and the copies, the original shall prevail.</p> <p>17.6 The original and all the copies of the Technical Proposal shall be placed inside of a sealed envelope clearly marked "<b>TECHNICAL PROPOSAL</b>", "[Name of the Assignment]", reference number, name and</p> |

|                                           |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
|-------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                                           | <p>address of the Consultant, and with a warning “<b>DO NOT OPEN UNTIL [INSERT THE DATE AND THE TIME OF THE TECHNICAL PROPOSAL SUBMISSION DEADLINE].</b>”</p> <p>17.7 Similarly, the original Financial Proposal (if required for the applicable selection method) shall be placed inside of a sealed envelope clearly marked “<b>FINANCIAL PROPOSAL</b>” followed by the name of the assignment, reference number, name and address of the Consultant, and with a warning “<b>DO NOT OPEN WITH THE TECHNICAL PROPOSAL.</b>”</p> <p>17.8 The sealed envelopes containing the Technical and Financial Proposals shall be placed into one outer envelope and sealed. This outer envelope shall bear the submission address, RFP reference number, the name of the assignment, Consultant’s name and the address, and shall be clearly marked “<b>DO NOT OPEN BEFORE [insert the time and date of the submission deadline indicated in the Data Sheet]</b>”.</p> <p>17.9 If the envelopes and packages with the Proposal are not sealed and marked as required, the Client will assume no responsibility for the misplacement, loss, or premature opening of the Proposal. For QCBS, FBS and LCS, if the Technical and Financial Proposals are not submitted in separate sealed envelopes as required, the Client shall reject the Proposal.</p> <p>17.10 The Proposal or its modifications must be sent to the address indicated in the Data Sheet and received by the Client no later than the deadline indicated in the Data Sheet, or any extension to this deadline. Any Proposal or its modification received by the Client after the deadline shall be declared late and rejected, and promptly returned unopened.</p> |
| <b>18. Confidentiality</b>                | <p>18.1 From the time the Proposals are opened to the time the Contract is awarded, the Consultant should not contact the Client on any matter related to its Technical and/or Financial Proposal. Information relating to the evaluation of Proposals and award recommendations shall not be disclosed to the Consultants who submitted the Proposals or to any other party not officially concerned with the process, until the letter of intent to accept the proposal has been issued to the selected Consultant.</p> <p>18.2 Any attempt by shortlisted Consultants or anyone on behalf of the Consultant to influence improperly the Client in the evaluation of the Proposals or Contract award decisions may result in the rejection of its Proposal, and may be subject to the application of prevailing PPMO’s blacklisting procedures.</p> <p>18.3 Notwithstanding the above provisions, from the time of the Proposals’ opening to the time of issuance of notification for opening of financial proposal or the Letter of Intent, if a Consultant wishes to contact the Client on any matter related to the selection process, it should do so only in writing.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
| <b>19. Opening of Technical Proposals</b> | <p>19.1 The Client’s evaluation committee shall conduct the opening of the Technical Proposals in the presence of the shortlisted Consultants’ authorized representatives who choose to attend. The opening date, time and the address are stated in the <b>Data Sheet</b>. The envelopes with the Financial Proposal shall remain sealed and shall be securely</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |



|                                              |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
|----------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                                              | <p>stored until they are opened in accordance with Clause 23 of the ITC.</p> <p>19.2 At the opening of the Technical Proposals the following shall be read out: (i) the name and the country of the Consultant or, in case of a Joint Venture, the name of the Joint Venture, the name of the lead member and the names and the countries of all members; (ii) the presence or absence of a duly sealed envelope with the Financial Proposal; (iii) any modifications to the Proposal submitted prior to proposal submission deadline; and (iv) any other information deemed appropriate or as indicated in the <b>Data Sheet</b>.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
| <b>20. Proposals Evaluation</b>              | <p>20.1 Subject to provision of Clause 15.1 of the ITC, the evaluators of the Technical Proposals shall have no access to the Financial Proposals until the technical evaluation is concluded and the DP issues its "no objection", if applicable.</p> <p>20.2 The Consultant is not permitted to alter or modify its Proposal in any way after the proposal submission deadline except as permitted under Clause 12.7 of this ITC. While evaluating the Proposals, the Client will conduct the evaluation solely on the basis of the submitted Technical and Financial Proposals.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
| <b>21. Evaluation of Technical Proposals</b> | <p>21.1 The Client's evaluation committee shall evaluate the Technical Proposals on the basis of their responsiveness to the Terms of Reference and the RFP, applying the evaluation criteria, sub-criteria, and point system specified in the <b>Data Sheet</b>. Each responsive Proposal will be given a technical score. The evaluation committee shall compute the score obtained by each proposal by taking the average of the scores given by each member of the evaluation committee to the proposal. A Proposal shall be rejected at this stage if it does not respond to important aspects of the RFP or if it fails to achieve the minimum technical score indicated in the <b>Data Sheet</b>.</p> <p>21.2 Proposed experts, involved in the firms' work in hand will not be considered for evaluation to the extent of this involvement in the ongoing assignment.</p> <p>21.3 In Case, a corruption case is being filed to Court against the Natural Person or Board of Director of the firm/institution /company or any partner of JV, such Natural Person or Board of Director of the firm/institution /company or any partner of JV such firm's or JV proposal shall be excluded from the evaluation, if public entity receives instruction from Government of Nepal.</p> |
| <b>22. Financial Proposals for QBS</b>       | <p>22.1 Following the ranking of the Technical Proposals, when the selection is based on quality only (QBS), the top-ranked Consultant is invited to negotiate the Contract.</p> <p>22.2 If Financial Proposals were invited together with the Technical Proposals, only the Financial Proposal of the technically top-ranked Consultant is opened by the Client's evaluation committee. All other Financial Proposals are returned unopened after the Contract negotiations are successfully concluded and the Contract is signed.</p> <p>22.3 In Case, a corruption case is being filed to Court against the Natural Person or Board of Director of the firm/institution /company or any partner of JV, such Natural Person or Board of Director of the firm/institution /company or any partner of JV such firm's or JV proposal shall be excluded from the evaluation, if public entity receives instruction from Government of Nepal.</p>                                                                                                                                                                                                                                                                                                                                           |
| <b>23. Public Opening of</b>                 | <p>23.1 After the technical evaluation is completed <i>and the DP has issued its</i></p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |

|                                                                    |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
|--------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <p><b>Financial Proposals (for QCBS, FBS, and LCS methods)</b></p> | <p><i>no objection (if applicable)</i>, the Client shall notify those Consultants whose Proposals were considered non-responsive to the RFP and TOR or did not meet the minimum qualifying technical score (and shall provide information relating to the Consultant's overall technical score) that their Financial Proposals will be returned unopened after completing the selection process and Contract signing. The Client shall simultaneously notify in writing those Consultants that have achieved the minimum overall technical score and inform them of the date, time and location for the opening of the Financial Proposals. The opening date should be at least 7 days for national shortlisting and 15 days for international shortlisting for attending the opening. The Consultant's attendance at the opening of the Financial Proposals is optional and is at the Consultant's choice.</p> <p>23.2 The Financial Proposals shall be opened by the Client's evaluation committee in the presence of the representatives of those Consultants whose proposals have passed the minimum technical score. At the opening, the names of the Consultants, and the overall technical scores, shall be read aloud. The Financial Proposals will then be inspected to confirm that they have remained sealed and unopened.</p> <p>These Financial Proposals shall be then opened, and the following information will be recorded:</p> <ul style="list-style-type: none"> <li>(a) Name and address ,</li> <li>(b) Proposed service charge,</li> <li>(c) Discount offered, if any;</li> <li>(d) Description of the discrepancies, if any, between figure and words,</li> <li>(e) Whether the financial proposal is signed or not by authorized representative of consultant,</li> <li>(f) If any matter or content of the financial proposal is effaced whether such efface is signed by the consultant or his/her representative or not and the details of the amount and the content effaced,</li> <li>(g) Other necessary matters considered appropriate by the Public Entity</li> </ul> <p>23.3 In Case, a corruption case is being filed to Court against the Natural Person or Board of Director of the firm/institution /company or any partner of JV, such Natural Person or Board of Director of the firm/institution /company or any partner of JV such firm's or JV proposal shall be excluded from the evaluation, if public entity receives instruction from Government of Nepal.</p> |
| <p><b>24. Correction of Errors</b></p>                             | <p>24.1 Activities and items described in the Technical Proposal but not priced in the Financial Proposal, shall be assumed to be included in the prices of other activities or items, and no corrections are made to the Financial Proposal.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
| <p><b>a. Time-Based Contracts</b></p>                              | <p>24.1.1 If a Time-Based contract form is included in the RFP, the Client's evaluation committee will (a) correct any computational or arithmetical errors, (b) adjust the discount offered, if any, and (b) adjust the prices if they fail to reflect all inputs included for the respective activities or items in the Technical Proposal. In case of discrepancy between (i) a partial amount (sub-total) and the total amount, or (ii) between the amount derived by multiplication of unit price with quantity and the total price, or (iii) between words and figures, the former will prevail. In case of discrepancy between the Technical and Financial Proposals in indicating quantities of input, the</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |

|                                                    |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
|----------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                                                    | Technical Proposal prevails and the Client's evaluation committee shall correct the quantification indicated in the Financial Proposal so as to make it consistent with that indicated in the Technical Proposal, apply the relevant unit price included in the Financial Proposal to the corrected quantity, and correct the total Proposal cost.                                                                                                                                                                                                      |
| <b>b. Lump-Sum Contracts</b>                       | 24.2 If a Lump-Sum contract form is included in the RFP, the Consultant is deemed to have included all prices in the Financial Proposal, so neither arithmetical corrections nor price adjustments shall be made. The total price, net of taxes understood as per Clause ITC 25 below, specified in the Financial Proposal (Form FIN-1) shall be considered as the offered price.                                                                                                                                                                       |
| <b>25. Taxes</b>                                   | <p>25.1 Except as set out in Sub-clause 25.2, all taxes are deemed included in the Consultant's Financial proposal, and, therefore, included in the evaluation.</p> <p>25.2 Except for VAT, all taxes levied and imposed on the contract invoices and any tax liabilities arising from the Contract under the laws of Nepal are deemed included in the Consultant's Financial Proposal and, hence, included in the evaluation. Information on the Consultant's tax obligations in Nepal can be found as indicated in Clause 16.3 of the Data Sheet.</p> |
| <b>26. Conversion to Single Currency</b>           | 26.1 For the evaluation purposes, prices shall be converted to a single currency using the selling rates of exchange, source and date indicated in the <b>Data Sheet</b> .                                                                                                                                                                                                                                                                                                                                                                              |
| <b>27. Combined Quality and Cost Evaluation</b>    |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
| <b>a. Quality- and Cost-Based Selection (QCBS)</b> | 27.1 In the case of QCBS, the total score is calculated by weighting the technical and financial scores and adding them as per the formula and instructions in the <b>Data Sheet</b> . The Consultant achieving the highest combined technical and financial score will be invited for negotiations.                                                                                                                                                                                                                                                    |
| <b>b. Fixed-Budget Selection (FBS)</b>             | <p>27.2 In the case of FBS, those Proposals that exceed the budget indicated in Clause 14.1.4 of the Data Sheet shall be rejected.</p> <p>27.3 The Client will select the Consultant that submitted the highest-ranked Technical Proposal that does not exceed the budget indicated in the RFP, and invite such Consultant to negotiate the Contract.</p>                                                                                                                                                                                               |
| <b>c. Least-Cost Selection (LCS)</b>               | 27.4 In the case of Least-Cost Selection (LCS), the Client will select the Consultant with the lowest evaluated total price among those consultants that achieved the minimum technical score, and invite such Consultant to negotiate the Contract.                                                                                                                                                                                                                                                                                                    |
| <b>D. Negotiations and Award</b>                   |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
| <b>28. Negotiations</b>                            | <p>28.1 The negotiations will be held at the date and address indicated in the <b>Data Sheet</b> with the Consultant's representative(s) who must have written power of attorney to negotiate and sign a Contract on behalf of the Consultant.</p> <p>28.2 The Client shall prepare minutes of negotiations that are signed by the Client and the Consultant's authorized representative.</p>                                                                                                                                                           |

|                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
|---------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                                       | 28.3 The date, time and address for the negotiations will be advised in writing by the client. The notification period shall be at least 15 days for international selection and 7 days for national selection.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
| <b>a. Availability of Key Experts</b> | <p>28.3 The invited Consultant shall confirm the availability of all Key Experts included in the Proposal as a pre-requisite to the negotiations, or, if applicable, a replacement in accordance with Clause 12 of the ITC. Failure to confirm the Key Experts' availability may result in the rejection of the Consultant's Proposal and the Client proceeding to negotiate the Contract with the next-ranked Consultant.</p> <p>28.4 Notwithstanding the above, the substitution of Key Experts at the negotiations may be considered if due solely to circumstances outside the reasonable control of and not foreseeable by the Consultant, including but not limited to death or medical incapacity. In such case, the Consultant shall offer a substitute Key Expert within the period of time specified in the letter of invitation to negotiate the Contract, who shall have equivalent or better qualifications and experience than the original candidate.</p> |
| <b>b. Technical negotiations</b>      | <p>28.5 The negotiations include discussions of the Terms of Reference (TORs), the proposed methodology, the Client's inputs, the special conditions of the Contract, and finalizing the "Description of Services" part of the Contract. These discussions shall not substantially alter the original scope of services under the TOR or the terms of the contract, lest the quality of the final product, its price, or the relevance of the initial evaluation be affected.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
| <b>c. Financial negotiations</b>      | <p>28.6 In the case of a Time-Based contract, where cost is a factor in the evaluation, unit rates negotiations for remuneration shall not take place. However, there may be negotiation on reimbursable expenses.</p> <p>28.7 If the selection method included cost as a factor in the evaluation, the total price stated in the Financial Proposal for a Lump-Sum contract shall not be negotiated.</p> <p>28.8 The format for (i) providing information on remuneration rates in the case of Quality Based Selection is provided in Appendix A to the Financial Form FIN-3:Financial Negotiations – Breakdown of</p>                                                                                                                                                                                                                                                                                                                                                  |

|                                                |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
|------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                                                | Remuneration Rates.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
| <b>29. Conclusion of Negotiations</b>          | <p>29.1 The negotiations are concluded with a review of the finalized draft Contract, which then shall be initialed by the Client and the Consultant's authorized representative.</p> <p>29.2 If the negotiations fail, the Client shall inform the Consultant in writing of all pending issues and disagreements and provide a final opportunity to the Consultant to respond. If disagreement persists, the Client shall terminate the negotiations informing the Consultant of the reasons for doing so. The Client will invite the next-ranked Consultant to negotiate a Contract. Once the Client commences negotiations with the next-ranked Consultant, the Client shall not reopen the earlier negotiations.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
| <b>30. Award of Contract</b>                   | <p>30.1 Pursuant to Clause 29.1 of this ITC, the consultant, with whom agreement is reached following negotiation, shall be selected for approval of his proposal and the Client shall notify its' intention to accept the proposal to the selected consultant and other short-listed consultants within 7 days of selection of the winning proposal.</p> <p>30.2 If the review application is not received by the Client pursuant to Clause 31.2 of this ITC then the proposal of the Consultant, selected as per Clause 30.1 of this ITC shall be accepted and the successful consultant shall be notified to come for signing the Agreement within 15 days.</p> <p>30.3 If the Consultant fails to sign an agreement pursuant to Clause 30.2 of this ITC then the Client will invite the consultant whose proposal received the next highest score to negotiate a contract.</p> <p>30.4 The Consultant is expected to commence the assignment on the date and at the location specified in the <b>Data Sheet</b>.</p> <p>30.5 In Case, a corruption case is being filed to Court against the Natural Person or Board of Director of the firm/institution /company or any partner of JV, such Natural Person or Board of Director of the firm/institution /company or any partner of JV such firm's or JV proposal shall be excluded from the evaluation, if public entity receives instruction from Government of Nepal.</p> |
| <b>31. Request for Information/ Complaints</b> | <p>31.1 A consultant, who has been informed that its technical proposal has been considered non-responsive to the RFP and TOR or did not meet the minimum qualifying technical score, may request the Client to provide the technical score obtained by him and the reason for not being able to qualify. The Client shall provide the information within 5</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |

|                                   |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
|-----------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                                   | <p>days of receiving such request. If the applicant is not satisfied with the decision given by the procuring entity and/or the decision is not given by the Procuring Entity within 5 days, then the applicant can file a complaint to the Review Committee within 7 days. The Applicant filing application for review shall have to furnish a cash amount or bank guarantee from Commercial Bank or Financial Institution eligible to issue Bank Guarantee as per prevailing Law equivalent to the amount specified in the Data Sheet with the validity period of at least ninety days from the date of filing of application.</p> <p>In case of letter of intent after evaluation of financial proposal if the applicant is not satisfied with the decision given by the procuring entity and/or the decision is not given by the Procuring Entity within 5 days, then the applicant can file a complaint to the Review Committee within 7 days. The Applicant filing application for review shall have to furnish a cash amount or bank guarantee from Commercial Bank or Financial Institution eligible to issue Bank Guarantee as per prevailing Law equivalent to the 1% of Financial Proposal with the validity period of at least ninety days from the date of filing of application.</p> <p>31.2 Any consultant, who has submitted a proposal and is not satisfied with the procurement process or Client's decision provided as per Clause 30.1 of this ITC and believes that the Client has committed an error or breach of duty which has or will result in loss to him then the consultant may give an application for review of the decision to the Client with reference to the error or breach of duty committed by the Client. The review application should be given within 7 days of receipt of information regarding the issue of letter by the Client notifying its intention to accept the winning proposal pursuant to Clause 30.1 of this ITC.</p> <p>31.3 If a review application is received by the Client pursuant to Clause 31.2 of this ITC then the Client will clarify and respond within 5 days of receiving such application.</p> <p>31.4 If the applicant is not satisfied with the decision given by the procuring entity and/or the decision is not given by the Procuring Entity within 5 days, then the applicant can file a complaint to the Review Committee within 7 days.</p> <p>31.5 If a complaint has been lodged to the client, the client shall put on hold the awarding process for 7 days period provided to lodge a complaint to the review committee.</p> |
| <b>32. Conduct of Consultants</b> | <p>32.1 The Consultant shall be responsible to fulfil his obligations as per the requirement of the Contract Agreement, RFP documents and Public Procurement Act and Regulations.</p> <p>32.2 The consultant shall not carry out or cause to carry out the following acts with an intention to influence the implementation of the procurement process or the Contract Agreement:</p> <ol style="list-style-type: none"> <li>give or propose improper inducement directly or indirectly,</li> <li>distortion or misrepresentation of facts</li> <li>engaging or being involved in corrupt or fraudulent practice</li> <li>interference in</li> </ol>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |



|                         |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
|-------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                         | <ul style="list-style-type: none"> <li>e. participation of other prospective bidders.</li> <li>f. coercion or threatening directly or indirectly to impair or harm, any party or the property of the party involved in the procurement proceedings,</li> <li>g. collusive practice among consultants before or after submission of proposals for distribution of works among consultants or fixing artificial/uncompetitive proposal price with an intention to deprive the Client the benefit of open competitive proposal price.</li> <li>h. contacting the Client with an intention to influence the Client with regards to the proposals or interference of any kind in examination and evaluation of the proposals during the period after opening of proposals up to the notification of award of contract</li> </ul>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
| <b>33. Blacklisting</b> | <p>33.1 Without prejudice to any other rights of the client under this Contract , the Public Procurement Monitoring Office may blacklist a Consultant for his conduct up to three years on the following grounds and seriousness of the act committed by the consultant:</p> <ul style="list-style-type: none"> <li>a) if it is proved that the consultant committed acts pursuant to the Clause 32.2 of the ITC,</li> <li>b) if the consultant fails to sign an agreement pursuant to Clause 30.2 of the ITC,</li> <li>c) if it is proved later that the consultant has committed substantial defect in implementation of the contract or has not substantially fulfilled his obligations under the contract or the completed assignment is not of the specified quality as per the contract,</li> <li>d) if convicted by a court of law in a criminal offence which disqualifies the firm from participating in the contract.</li> <li>e) if it is proved that the contract agreement signed by the Consultant was based on false or misrepresentation of consultant's qualification information,</li> <li>f) if the consultant fails to submit the professional liability insurance within the period stipulated in the contract.</li> </ul> <p>33.2 A Consultant declared blacklisted and ineligible by the GoN, Public Procurement Monitoring Office (PPMO) and/or DP Development Partner in case of DP funded project, shall be ineligible to participate or to be awarded a contract during the period of time determined by the GoN, PPMO and/or the DP Development Partner.</p> <p>The list of debarred firms is available at the electronic address specified in the <b>Data Sheet.</b></p> |

## Information to Consultants

### DATA SHEET

|                                |                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
|--------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1.1 ITC<br>Clause<br>Reference | <p>The name of the Client is:<br/> <b>Office of The Municipal Executive, Gauriganga Municipality, Kailali</b><br/> The method of selection is: <b>Quality and Cost Based Selection (QCBS),</b><br/> <b>Consultants proposed core team members should be as per schedule 13 of EPR 2077 for being eligible.</b></p>                                                                                                                                                 |
| 1.2                            | <p>The name, objectives, and description of the assignment are:<br/> Name: <b>The Consulting Services for Initial Environment Examination (IEE) of the Sustainable extraction of river bed materials (Sand, Stone, Gravel and Boulder) from Shivaganga, Gauriganga, Kandra, Chaumala, Likma (Seti and Matkauna) and Andaiya Rivers of Gauriganga Municipality, Chaumala, Kailali.</b></p> <p>Objectives: <i>Refer TOR</i></p> <p>Description: <i>Refer TOR</i></p> |
| 1.3                            | <p>A pre-proposal conference will be held: <b>NO</b></p> <p>The name(s), address(es), and telephone numbers of the Client's official(s) are:</p> <p>Name: <u>Gauriganga Municipality</u><br/> Address: <u>Gauriganga Municipality, Chaumala, Kailali</u><br/> Telephone No. : .....</p>                                                                                                                                                                            |
| 1.4                            | <p>The Client will provide the following inputs: As per TOR</p>                                                                                                                                                                                                                                                                                                                                                                                                    |
| 1.5                            | <p>Clarifications may be requested <b>7 days</b> before the submission date<br/> The address for requesting clarifications is:<br/> Client's Name : Office of The Municipal Executive, Gauriganga Municipality, Kailali<br/> Address : Chaumala, Kailali<br/> Phone/Fax:<br/> E-mail: <a href="mailto:info.gaurigangamun@gmail.com">info.gaurigangamun@gmail.com</a></p>                                                                                           |
| 1.6                            | <p>Proposals should be submitted in the following language(s): <b>English</b></p>                                                                                                                                                                                                                                                                                                                                                                                  |
| 1.7                            | <p>(i) Standing List listed consultants/entity may associate with other listed consultants:</p> <p>(ii) The estimated number of professional Staff-months required for the assignment is: as per ToR attached herewith.</p>                                                                                                                                                                                                                                        |



|      |                                                                                                                                                                                                                                                                                                                                                                                                                                           |
|------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|      | <p>(iii) The minimum required experience of proposed professional key staff is:<br/>As per ToR</p> <p>(iv) Reports that are part of the assignment must be written in the following language(s): English</p>                                                                                                                                                                                                                              |
| 1.9  | Consultants must submit only original copies of each proposal                                                                                                                                                                                                                                                                                                                                                                             |
| 1.10 | The clauses on fraud and corruption in the Contract are: <b><i>As per PPMO guidelines and prevailing laws of Procurement Act and Regulations of Nepal.</i></b>                                                                                                                                                                                                                                                                            |
| 3.1  | Proposals should be submitted in the following language(s): <b><i>English</i></b>                                                                                                                                                                                                                                                                                                                                                         |
| 3.3  | <p>(i) Consultants/entity may associate with other consultants: In terms of JV agreement.</p> <p>(iii) The estimated number of professional staff-months required for the assignment is: Refer TOR</p> <p>(iii) The minimum required experience of proposed professional staff is: Refer TOR</p> <p>(vi) Reports that are part of the assignment must be written in the following language(s): English/Nepali</p>                         |
| 3.4  | <p>(vii) Training is a specific component of this assignment:</p> <p>(viii) Additional information in the Technical Proposal includes: <u>Refer TOR</u></p>                                                                                                                                                                                                                                                                               |
| 3.9  | Proposals must remain valid 90 days after the submission date.                                                                                                                                                                                                                                                                                                                                                                            |
| 4.3  | Consultants must submit an original copy of each proposal .                                                                                                                                                                                                                                                                                                                                                                               |
| 4.4  | <p>The proposal submission address: <u>Gauriganga Municipality, Kailali</u></p> <p>Information on the outer envelope should also include :as per notice</p>                                                                                                                                                                                                                                                                               |
| 4.5  | Proposals must be submitted no later <b>than 2083/05/03 on</b> or before office hour (12:00 hrs) noon.                                                                                                                                                                                                                                                                                                                                    |
| 5.1  | The address to send information to the Client is_Gauriganga Municipality                                                                                                                                                                                                                                                                                                                                                                  |
| 5.8  | <u>The fixed Budget Ceiling for the assignment is : NA</u>                                                                                                                                                                                                                                                                                                                                                                                |
| 5.10 | <p>The formula for determining the financial scores is the following:<br/>[Either <math>Sf = 100 \times Fm/F</math>, in which <math>Sf</math> is the financial score, <math>Fm</math> is the lowest price and <math>F</math> the price of the proposal under consideration, or another proportional linear formula]</p> <p>The weights given to the technical and Financial Proposals are:</p> <p><b>T (Technical Proposal) = 0.7</b></p> |

|     |                                                                                                                                     |
|-----|-------------------------------------------------------------------------------------------------------------------------------------|
|     | <b>P (Financial Proposal) = 0.3</b><br><b>Minimum Pass Marks in Technical Evaluation 60.</b>                                        |
| 6.1 | The address for negotiations is: <u>Gauriganga Municipality, Kailali.</u>                                                           |
| 7.6 | The assignment is expected to <b>commence on 2083 Bhadra at Office of the Municipal Executive, Gauriganga Municipality, Kailali</b> |

|              |                                                                                                                                                                      |
|--------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>6.3.1</b> | <b>A list of debarred firms and individuals is available at the following website <a href="#">[insert appropriate website address: PPMO or DP as applicable]</a></b> |
|--------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|

## B. Preparation of Proposals

|             |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
|-------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>10.1</b> | <p><b>The Proposal shall comprise the following:</b></p> <p><b>1<sup>st</sup> Inner Envelope with the Technical Proposal:</b></p> <ul style="list-style-type: none"> <li>(1) Power of Attorney to sign the Proposal</li> <li>(2) Proof of Legal Status and Eligibility</li> <li>(3) TECH-1</li> <li>(4) TECH-2</li> <li>(5) TECH-3</li> <li>(6) TECH-4</li> <li>(7) TECH-5</li> <li>(8) TECH-6</li> <li>(9) TECH-7</li> </ul> <p style="text-align: center;">AND</p> <p><b>2<sup>nd</sup> Inner Envelope with the Financial Proposal (if applicable):</b></p> <ul style="list-style-type: none"> <li>(1) FIN-1</li> <li>(2) FIN-2</li> <li>(3) FIN-3</li> <li>(4) FIN-4</li> </ul> <p>Proof of legal status establish Consultant's legal capacity to enter into binding and enforceable contracts and may be supported by:</p> <ul style="list-style-type: none"> <li>• Certificate of incorporation.</li> </ul> |
| <b>11.1</b> | <p>Participation of Sub-consultants, Key Experts and Non-Key Experts in more than one Proposal is permissible</p> <p style="text-align: center;">NA</p> <p>[Notes to Client: Default provision is "Yes" that participation of local sub-consultants, international or national independent expert in more than one Proposal is permissible. Participation of experts who are regular employees of one of the lead firms in more than one proposal is not permissible, unless such firm</p>                                                                                                                                                                                                                                                                                                                                                                                                                       |

|                                                            |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
|------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                                                            | declines to present the proposal]                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
| 12.9                                                       | Sub-contracting is allowed for the proposed assignment<br>NO                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
| 14.1.1                                                     | <b>Shortlisted Consultants may associate with</b><br><br>NA<br>[Notes to Client: Default provision is for (a) “Yes” and (b) “No”, e.g. Shortlisted consultants may <u>not</u> associate with other shortlisted consultants, but may associate with other non-shortlisted consultants. Any deviations from the default provisions would require PPMO’s prior approval ]                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
| 14.1.2                                                     | [If not used, state “Not applicable”. [Note to Client: state “Not applicable”, if specifying minimum inputs under 14.1.3, instead of estimated inputs]<br>If used, insert the following:<br>Na                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
| 14.1.3<br>for time-<br>based<br>contracts<br>only          | [If not used, state “Not applicable”. If used, insert the following:<br><br>Na                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
| 14.1.4<br>and 27.2<br>use for<br>Fixed<br>Budget<br>method | <b>The total available budget for this Fixed-Budget assignment is: Proposals <sup>1</sup> exceeding the total available budget will be rejected.</b><br>NA                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
| 16.1                                                       | [A <u>sample</u> list is provided below for guidance. Items that are not applicable should be deleted, others may be added.]<br>(1) a per diem allowance, including hotel, for experts for every day of absence from the home office for the purposes of the Services;<br>(2) cost of travel by the most appropriate means of transport and the most direct practicable route;<br>(3) cost of office accommodation, including overheads and back-stop support;<br>(4) communications costs;<br>(5) cost of purchase or rent or freight of any equipment required to be provided by the Consultants;<br>(6) cost of reports production (including printing) and delivering to the Client;<br>(7) other allowances where applicable<br>[insert other relevant type of expenses, if/as applicable]<br><br>Only for Time-Based Contracts: |

<sup>1</sup>The proposal refers to the proposed price in Form FIN-1.

|                                              |                                                                                                                                                                                                                                                                                                                                                                             |
|----------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                                              | Provisional sums [insert amount, provide breakdown]                                                                                                                                                                                                                                                                                                                         |
| 16.2                                         | <b>A price adjustment provision applies to remuneration rates : NA</b>                                                                                                                                                                                                                                                                                                      |
| 16.3                                         | [Insert the following:<br><b>“Information on the Consultant’s tax obligations in Nepal can be found at the Inland Revenue Department website: <a href="http://www.ird.gov.np">www.ird.gov.np</a>.”</b>                                                                                                                                                                      |
| 16.4                                         | <b>The Financial Proposal shall be stated in the following currencies:</b><br>Consultant may express the price for their Services in any fully convertible currency, singly or in combination of up to three foreign currencies.<br><br><b>The Financial Proposal should state local costs in Nepalese Rupees</b>                                                           |
| <b>C. Submission, Opening and Evaluation</b> |                                                                                                                                                                                                                                                                                                                                                                             |
| 17.1                                         | <b>The Consultants shall not have the option of submitting their Proposals electronically.</b>                                                                                                                                                                                                                                                                              |
| 17.5                                         | <b>The Consultant must submit:</b><br>(a) <b>Technical Proposal:</b> one (1) original and (b) <b>Financial Proposal:</b> one in separate Envelope (1) original.                                                                                                                                                                                                             |
| 17.8                                         | Proposals must be submitted no later than 2083/05/03 on or before office hour (12:00 hrs) noon. <b>The Proposal submission address is: <a href="#">Gauriganga Municipality, Kailali</a></b><br>[Note to Client: Proposal submission on or before the deadline shall be recorded by the Client when the Client receives the Proposal and its copies as indicated in DS17.9.] |
| 19.1                                         | <b>An online option of the opening of the Technical Proposals is offered: NO</b><br><b>The opening shall take place at: <a href="#">Gauriganga Municipality</a>.</b><br><b>Date: 2083/05/03 at 1 pm</b><br>[The time should be immediately after the time for the submission deadline]                                                                                      |
| 19.2                                         | <b>In addition, the following information will be read aloud at the opening of the Technical Proposals :</b><br>Confirmation that invitation to submit proposal was not transferred to another party.<br>[State what additional information will be read out and recorded in the opening minutes]                                                                           |
| 21.1                                         | The evaluation criteria, sub-criteria, and point system for the evaluation are:<br><u>Points</u>                                                                                                                                                                                                                                                                            |

गौरीगंगा नगरपालिका  
नगर कार्यपालिकाको कार्यालय  
चौमाला, कैलाली  
सुदूरपश्चिम प्रदेश, नेपाल  
**Evaluation Criteria**

Name of Proposal: Consulting Services for conducting Initial Environmental Examination of Sustainable Extraction of Sand, Stone, Gravel and Boulder of Andayiya, Likma, Shivaganga, Kandra, Gauriganga and Chaumala River of

| S.NO. | Evaluation Basis                                                                                                                                                                                                        | Full Score      |            |
|-------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------|------------|
|       |                                                                                                                                                                                                                         | Individual Mark | Full Marks |
| 1     | Experience of the Firm                                                                                                                                                                                                  |                 | 20         |
|       | 1.1 General Experience of the Firm                                                                                                                                                                                      |                 |            |
|       | 1-2 Years in any field                                                                                                                                                                                                  | 2               | 5          |
|       | 3-5 Years in any field                                                                                                                                                                                                  | 3               |            |
|       | More than 5 years in any field                                                                                                                                                                                          | 5               |            |
|       | 1.2 General Experience of the Firm (IEE or EIA or EA of any projects like rivers, forest, industries, engineering project like road, water supply, building, hall complex airport hydropower bridge or similar projects |                 | 5          |
|       | 1-2 number of projects                                                                                                                                                                                                  | 2               |            |
|       | 3 to 5 number of projects.                                                                                                                                                                                              | 3               |            |
|       | More than 5 no of projects                                                                                                                                                                                              | 5               |            |
|       | 1.3 Specific experience of the Firm                                                                                                                                                                                     |                 | 10         |
|       | 1 to 2 Nos of BES/IEE/EIA study of Rivers/streams for sand gravel extraction                                                                                                                                            | 5               |            |
|       | 3 to 5 Nos of BES/IEE/EIA study of Rivers/streams for sand gravel extraction                                                                                                                                            | 7               |            |
|       | More than 5 Nos of BES/IEE/EIA study of Rivers/streams for sand gravel extraction                                                                                                                                       | 10              |            |
| 2     | Qualification and competence of the Consultants personnel proposed for the assignment.                                                                                                                                  |                 | 45         |
| 2.1   | Environment specialist/ Team Leader                                                                                                                                                                                     |                 | 15         |
|       | 2.1.1 Education                                                                                                                                                                                                         |                 | 5          |
|       | Master degree in Environment Science or Environment Engineering or any relevant discipline                                                                                                                              | 4               |            |
|       | Above Master degree in Environment Science or Environment Engineering or any relevant discipline                                                                                                                        | 5               | 5          |
|       | 2.1.2 General Experience                                                                                                                                                                                                |                 |            |
|       | 1 to 2 yrs in any field                                                                                                                                                                                                 | 3               |            |
|       | 3 to 5 years of experience in any field                                                                                                                                                                                 | 4               |            |
|       | More than 5 years of experience in any field.                                                                                                                                                                           | 5               |            |
|       | 2.1.3 Specific Experience                                                                                                                                                                                               |                 | 5          |
|       | Minimum 3 no of environmental study (BES/IEE/EIA) experience as a team Leader                                                                                                                                           | 2               |            |
|       | Minimum 1 no.of environmental study of river bed material                                                                                                                                                               | 2               |            |
|       | More than 3 no of environmental study and more than 1 no of study based on river bed material as Team Leader                                                                                                            | 5               |            |
| 2.2   | Hydrologist/Geologist/Water Resource Expert (subject expert)                                                                                                                                                            |                 | 10         |
|       | 2.2.1 Education                                                                                                                                                                                                         |                 |            |

अधिकृत  
वातावरण अधिकृत



| S.NO.      | Evaluation Basis                                                                              | Full Score      |            |
|------------|-----------------------------------------------------------------------------------------------|-----------------|------------|
|            |                                                                                               | Individual Mark | Full Marks |
|            | Master degree in Hydrology/ Geology/Civil Engineer                                            | 2               | 3          |
|            | Above Master degree in Geology/Civil Engineer                                                 | 3               |            |
|            | <b>2.2.2 General Experience</b>                                                               |                 | 3          |
|            | 1 to 3 years of experience in any field.                                                      | 2               |            |
|            | More than 3 years of experience in any field.                                                 | 3               |            |
|            | <b>2.2.3 Specific Experience</b>                                                              |                 | 4          |
|            | Minimum 3 no of environmental study (BES/IEE/EIA)                                             | 2               |            |
|            | Minimum 1 no.of environmental study of river bed material                                     | 1               |            |
|            | More than 3 no of environmental study and more than 1 no of study based on river bed material | 4               |            |
| <b>2.3</b> | <b>Socioeconomist/Sociologist/Civil Engineer/Environmental law expert or Equivalent</b>       |                 | <b>10</b>  |
|            | <b>2.4.1 Education</b>                                                                        |                 | 3          |
|            | Master Degree In relevant Field.                                                              | 2               |            |
|            | Above Master Degree In relevant Field.                                                        | 3               |            |
|            | <b>2.4.2 General Experience</b>                                                               |                 | 3          |
|            | 1 to 3 years in any field.                                                                    | 2               |            |
|            | More than 3 years in any field.                                                               | 3               |            |
|            | <b>2.4.3 Specific Experience</b>                                                              |                 | 4          |
|            | Minimum 3 no of environmental study (BES/IEE/EIA)                                             | 2               |            |
|            | Minimum 1 no.of environmental study of river bed material                                     | 1               |            |
|            | More than 3 no of environmental study and more than 1 no of study based on river bed material | 4               |            |
| <b>2.4</b> | <b>Biologist/Forest/Natural resource expert Specialist</b>                                    |                 | <b>10</b>  |
|            | <b>2.4.1 Education</b>                                                                        |                 | 3          |
|            | Master Degree In relevant Field.                                                              | 2               |            |
|            | Above Master Degree In relevant Field.                                                        | 3               |            |
|            | <b>2.4.2 General Experience</b>                                                               |                 | 3          |
|            | 1 to 3years in any field.                                                                     | 2               |            |
|            | More than 3 years in any field.                                                               | 3               |            |
|            | <b>2.4.3 Specific Experience</b>                                                              |                 | 4          |
|            | Minimum 3 no of environmental study (BES/IEE/EIA)                                             | 2               |            |
|            | Minimum 1 no.of environmental study of river bed material                                     | 1               |            |
|            | More than 3 no of environmental study and more than 1 no of study based on river bed material | 4               |            |
| <b>2.5</b> | <b>Adequacy of the proposed Work Plan and Methodology and Responding to the ToR</b>           |                 | <b>30</b>  |
|            | Adequacy of the proposal (Adequcy in the content of proposal as per RFP/TOR)                  | 5               | 5          |
|            | Work Plan (CPM or Bar Chart with milestone in sequential way)                                 | 5               | 5          |
|            | Methodology (As per EPA,2019 and EPR 2020 and Other)                                          | 5               | 5          |
|            | Understanding of Subject Matter (understanding of subject matter)                             | 5               | 5          |
|            | Comment on Term of Reference (If incorporate appropriate Comment)                             | 5               | 5          |
|            | Work Schedule and Manning Schedule                                                            | 5               | 5          |
| <b>2.6</b> | <b>Suitability for transfer of knowledge Program</b>                                          |                 | <b>5</b>   |
|            | <b>Total Score</b>                                                                            |                 | <b>100</b> |

3/2/20

वातावरण अधिकृत

|                        |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
|------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                        | <p>The minimum technical score required to pass: <b>60 Points.</b><br/> <i>[The indicative range is 60 to 75 on a scale of 1 to 100]</i></p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
| 23.1                   | <p><b>An online option of the opening of the Financial Proposals is offered: No</b></p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
| 23.1 and 23.2          | <p>The Client will read aloud only overall technical scores.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
| 26.1                   | <p><b>The single currency for the conversion of all prices expressed in various currencies into a single one is NPR</b></p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
| 27.1<br>[a. QCBS only] | <p><b>The lowest evaluated Financial Proposal (Fm) is given the maximum financial score (Sf) of 100.</b></p> <p><b>The formula for determining the financial scores (Sf) of all other Proposals is calculated as following:</b></p> <p>The formula for determining the financial scores is the following:<br/>         [Either <math>Sf = 100 \times Fm/F</math>, in which Sf is the financial score, Fm is the lowest price and F the price of the proposal under consideration, or another proportional linear formula]</p> <p>The weights given to the technical and Financial Proposals are:<br/> <math>T</math> (Technical Proposal) = 70% and<br/> <math>P</math> (Financial Proposal) = 30%</p> <p>Proposals are ranked according to their combined technical (<math>St</math>) and financial (<math>Sf</math>) scores using the weights (<math>T</math> = the weight given to the Technical Proposal; <math>P</math> = the weight given to the Financial Proposal; <math>T + P = 1</math>) as following: <math>S = St \times T\% + Sf \times P\%</math>.</p> |
|                        | <p><b>D. Negotiations and Award</b></p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
| 28.1                   | <p><b>Expected date and address for contract negotiations:</b><br/> <b>Date:</b> After Evaluation is done.<br/> <b>Address:</b> Office of The Municipal Executive, Gauriganga Municipality, Kailali</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
| 30.4                   | <p><b>Expected date for the commencement of the Services:</b><br/> <b>Date:</b> 2083-Bhadra <b>at:</b> Office of The Municipal Executive, Gauriganga Municipality, Kailali</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
| 33.2                   | <p><b>A list of blacklisted firms is available at the PPMO's website <a href="http://www.ppmo.gov.np">http://www.ppmo.gov.np</a></b></p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |



**Gauriganga Municipality, Kailali**  
**The Consulting Services for Initial Environment Examination (IEE) of the Sustainable extraction**  
**of river bed materials (Sand, Stone, Gravel and Boulder) from Shivaganga, Gauriganga, Kandri,**  
**Chaumala, Likma (Seti and Matkauna) and Andaiya Rivers of Gauriganga Municipality,**  
**Chaumala, Kailali**

**STANDARD REQUEST FOR PROPOSALS**  
**RFP No : GGM/CS/01/2083/84**  
**INFORMATION TO CONSULTANTS**  
**BREAKDOWN OF AGREED FIXED RATES<sup>2</sup>**

| Consultants      |          | 1                       | 2                           | 3                      | 4        | 5                 | 6                                              | 7                       |
|------------------|----------|-------------------------|-----------------------------|------------------------|----------|-------------------|------------------------------------------------|-------------------------|
| Name             | Position | Basic Rate <sup>3</sup> | Social Charge<br>(__% of 1) | Overhead<br>(__% of 1) | Subtotal | Fee<br>(__% of 4) | Away from Headquarters Allowance<br>(__% of 1) | Total Agreed Fixed Rate |
| Field Assignment |          |                         |                             |                        |          |                   |                                                |                         |
|                  |          |                         |                             |                        |          |                   |                                                |                         |
|                  |          |                         |                             |                        |          |                   |                                                |                         |
| Home Office      |          |                         |                             |                        |          |                   |                                                |                         |
|                  |          |                         |                             |                        |          |                   |                                                |                         |
|                  |          |                         |                             |                        |          |                   |                                                |                         |

Signature of Consultant: \_\_\_\_\_

Date: \_\_\_\_\_

Authorized Representative: \_\_\_\_\_

Name: \_\_\_\_\_

Title: \_\_\_\_\_

<sup>2</sup> This model form is given for negotiation purposes only. It is not part of the proposals (technical or financial).

<sup>3</sup> Per month, day, or hour as appropriate.

## Section 3. Technical Proposal – Standard Forms

{Notes to Consultant shown in brackets { } throughout Section 3 provide guidance to the Consultant to prepare the Technical Proposal; they should not appear on the Proposals to be submitted.}

### TECHNICAL PROPOSAL SUBMISSION FORM

Date : 2083/ /

To,  
Chief Administrative Officer,  
Office of the Municipal Executive  
Gauriganga Municipality  
Kailali

Dear Sir

We, the undersigned, offer to provide the consulting services for Initial Environment Examination (IEE) of the Sustainable extraction of river bed materials (Sand, Stone, Gravel and Boulder) from Shivaganga, Gauriganga, Kandri, Chaumala, Likma (Seti and Matkauna) and Andaiya Rivers of Gauriganga Municipality, Chaumala, Kailali in accordance with your Request for Proposal dated 2083/04/19. We are hereby submitting our Proposal, which includes this Technical Proposal and a Financial Proposal sealed in a separate envelope” or, if only a Technical Proposal is invited “We hereby are submitting our Proposal, which includes this Technical Proposal only in a sealed envelope.”].

{If the Consultant is a joint venture, insert the following: We are submitting our Proposal in a joint venture with: {Insert a list with full name and the legal address of each member, and indicate the lead member}. We have attached a copy {insert: “of our letter of intent to form a joint venture” or, if a JV is already formed, “of the JV agreement”} signed by every participating member, which details the likely legal structure of and the confirmation of joint and severable liability of the members of the said joint venture.

OR

If the Consultant’s Proposal includes Sub-consultants, insert the following: We are submitting our Proposal with the following firms as Sub-consultants: {Insert a list with full name and country of each Sub-consultant.}

We hereby declare that:



Form TECH-2

## CONSULTANT'S ORGANIZATION AND EXPERIENCE

Form TECH-2: a brief description of the Consultant's organization and an outline of the recent experience of the Consultant that is most relevant to the assignment. In the case of a joint venture, information on similar assignments shall be provided for each partner. For each assignment, the outline should indicate the names of the Consultant's Key Experts and Sub-consultants who participated, the duration of the assignment, the contract amount (total and, if it was done in a form of a joint venture or a sub-consultancy, the amount paid to the Consultant), and the Consultant's role/involvement.

### A - Consultant's Organization

1. Provide here a brief description of the background and organization of your company, and – in case of a joint venture – of each member for this assignment.

### B - Consultant's Experience

Using the format below, provide information on each assignment for which your Consultant/entity, either individually as a corporate entity or as one of the major companies within an association, was legally contracted.

|                                                                                                                         |                               |                                                                                                      |
|-------------------------------------------------------------------------------------------------------------------------|-------------------------------|------------------------------------------------------------------------------------------------------|
| Assignment Name:                                                                                                        |                               | Country:                                                                                             |
| Location within Country:                                                                                                |                               | Professional Staff Provided by Your Consultant/Entity(profiles):                                     |
| Name of Client:                                                                                                         |                               | No. of Staff:                                                                                        |
| Address:                                                                                                                |                               | No. of Staff-Months; Duration of Assignment:                                                         |
| Start Date (Month/Year):                                                                                                | Completion Date (Month/Year): | Approx. Value of Services Proposal<br>National level :NRs<br>International Level: (in Current US\$): |
| Name of Associated Consultants, If Any:                                                                                 |                               | No. of Months of Professional Staff Provided by Associated Consultants:                              |
| Name of Senior Staff and Designation (Project Director/Coordinator, Team Leader etc.) Involved and Functions Performed: |                               |                                                                                                      |

Narrative Description of Project :( Actual assignment, nature of activities performed and location)

Description of Actual Services Provided by Your Staff:

Consultant's Name: \_\_\_\_\_

Form TECH-3

### COMMENTS AND SUGGESTIONS ON THE TERMS OF REFERENCE, COUNTERPART STAFF, AND FACILITIES TO BE PROVIDED BY THE CLIENT

Form TECH-3: comments and suggestions on the Terms of Reference that could improve the quality/effectiveness of the assignment; and on requirements for counterpart staff and facilities, which are provided by the Client, including: administrative support, office space, local transportation, equipment, data, etc.

#### A - On the Terms of Reference

{improvements to the Terms of Reference, if any}

#### B - On Counterpart Staff and Facilities

{Include comments on counterpart staff and facilities to be provided by the Client. For example, administrative support, office space, local transportation, equipment, data, background reports, etc., if any}

Form TECH-4

## DESCRIPTION OF THE METHODOLOGY AND WORK PLAN IN RESPONDING TO THE TERMS OF REFERENCE

Form TECH-4: a description of the methodology and work plan for performing the assignment, including a detailed description of the proposed methodology and staffing for training, if the Terms of Reference specify training as a specific component of the assignment.

{Suggested structure of your Technical Proposal:

- a) Technical Approach and Methodology
- b) Work Plan
- c) Organization and Staffing}

- a) **Technical Approach and Methodology.**{Please explain your understanding of the objectives of the assignment as outlined in the Terms of Reference (TORs), the technical approach, and the methodology you would adopt for implementing the tasks to deliver the expected output(s), and the degree of detail of such output. Please do not repeat/copy the TORs in here.}
- b) **Work Plan.**{Please outline the plan for the implementation of the main activities/tasks of the assignment, their content and duration, phasing and interrelations, milestones (including interim approvals by the Client), and tentative delivery dates of the reports. The proposed work plan should be consistent with the technical approach and methodology, showing your understanding of the TOR and ability to translate them into a feasible working plan. A list of the final documents (including reports) to be delivered as final output(s) should be included here. The work plan should be consistent with the Work Schedule Form.}
- c) **Organization and Staffing.**{Please describe the structure and composition of your team, including the list of the Key Experts and relevant technical and administrative support staff.}

Form TECH-5

## WORK SCHEDULE AND PLANNING FOR DELIVERABLES

| N°         | Deliverables <sup>1</sup> (D-..)       | Months |   |   |   |   |   |   |   |   |       |   |       |
|------------|----------------------------------------|--------|---|---|---|---|---|---|---|---|-------|---|-------|
|            |                                        | 1      | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | ..... | n | TOTAL |
| <b>D-1</b> | {e.g., Deliverable #1:<br>Report A     |        |   |   |   |   |   |   |   |   |       |   |       |
|            | 1) data collection                     |        |   |   |   |   |   |   |   |   |       |   |       |
|            | 2) drafting                            |        |   |   |   |   |   |   |   |   |       |   |       |
|            | 3) inception report                    |        |   |   |   |   |   |   |   |   |       |   |       |
|            | 4) incorporating comments              |        |   |   |   |   |   |   |   |   |       |   |       |
|            | 5).....                                |        |   |   |   |   |   |   |   |   |       |   |       |
|            | 6) delivery of final report to Client} |        |   |   |   |   |   |   |   |   |       |   |       |
|            |                                        |        |   |   |   |   |   |   |   |   |       |   |       |
|            |                                        |        |   |   |   |   |   |   |   |   |       |   |       |
| <b>D-2</b> | {e.g., Deliverable #2:.....}           |        |   |   |   |   |   |   |   |   |       |   |       |
|            |                                        |        |   |   |   |   |   |   |   |   |       |   |       |
|            |                                        |        |   |   |   |   |   |   |   |   |       |   |       |
| <b>n</b>   |                                        |        |   |   |   |   |   |   |   |   |       |   |       |
|            |                                        |        |   |   |   |   |   |   |   |   |       |   |       |

- 1 List the deliverables with the breakdown for activities required to produce them and other benchmarks such as the Client's approvals. For phased assignments, indicate the activities, delivery of reports, and benchmarks separately for each phase.
- 2 Duration of activities shall be indicated in a form of a bar chart.
- 3 Include a legend, if necessary, to help read the chart.



Form TECH-6

## TEAM COMPOSITION, ASSIGNMENT, AND KEY EXPERTS' INPUTS

| N°                     | Name, Nationality and DOB          | Expert's input (in person/month) per each Deliverable (listed in TECH-5) |         |           |       |       |       |       |  |  |  | Total time-input (in Months) |       |       |
|------------------------|------------------------------------|--------------------------------------------------------------------------|---------|-----------|-------|-------|-------|-------|--|--|--|------------------------------|-------|-------|
|                        |                                    | Position                                                                 |         | D-1       | D-2   | D-3   | ..... | D-... |  |  |  | Home                         | Field | Total |
| <b>KEY EXPERTS</b>     |                                    |                                                                          |         |           |       |       |       |       |  |  |  |                              |       |       |
| <b>International</b>   |                                    |                                                                          |         |           |       |       |       |       |  |  |  |                              |       |       |
| K-1                    | {e.g., Mr. Abbbb, PAK, 15.06.1954} | [Team Leader]                                                            | [Home]  | [2 month] | [1.0] | [1.0] |       |       |  |  |  |                              |       |       |
|                        |                                    |                                                                          | [Field] | [0.5 m]   | [2.5] | [0]   |       |       |  |  |  |                              |       |       |
| K-2                    | e.g., Mr. Xxyyy, USA, 20.04.1969}  |                                                                          |         |           |       |       |       |       |  |  |  |                              |       |       |
|                        |                                    |                                                                          |         |           |       |       |       |       |  |  |  |                              |       |       |
| K-3                    |                                    |                                                                          |         |           |       |       |       |       |  |  |  |                              |       |       |
|                        |                                    |                                                                          |         |           |       |       |       |       |  |  |  |                              |       |       |
| <b>Subtotal</b>        |                                    |                                                                          |         |           |       |       |       |       |  |  |  |                              |       |       |
| <b>National</b>        |                                    |                                                                          |         |           |       |       |       |       |  |  |  |                              |       |       |
|                        |                                    |                                                                          |         |           |       |       |       |       |  |  |  |                              |       |       |
|                        |                                    |                                                                          |         |           |       |       |       |       |  |  |  |                              |       |       |
| n                      |                                    |                                                                          |         |           |       |       |       |       |  |  |  |                              |       |       |
| <b>Subtotal</b>        |                                    |                                                                          |         |           |       |       |       |       |  |  |  |                              |       |       |
| <b>NON-KEY EXPERTS</b> |                                    |                                                                          |         |           |       |       |       |       |  |  |  |                              |       |       |
| N-1                    |                                    |                                                                          | [Home]  |           |       |       |       |       |  |  |  |                              |       |       |
|                        |                                    |                                                                          | [Field] |           |       |       |       |       |  |  |  |                              |       |       |
| N-2                    |                                    |                                                                          |         |           |       |       |       |       |  |  |  |                              |       |       |
|                        |                                    |                                                                          |         |           |       |       |       |       |  |  |  |                              |       |       |
|                        |                                    |                                                                          |         |           |       |       |       |       |  |  |  |                              |       |       |
| n                      |                                    |                                                                          |         |           |       |       |       |       |  |  |  |                              |       |       |
| <b>Subtotal</b>        |                                    |                                                                          |         |           |       |       |       |       |  |  |  |                              |       |       |
| <b>Total</b>           |                                    |                                                                          |         |           |       |       |       |       |  |  |  |                              |       |       |

1 For Key Experts, the input should be indicated individually for the same positions as required under the Data Sheet ITC21.1.

[Type here]

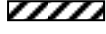
  
प्रमुख प्रशासकिय अधिकृत



2 Months are counted from the start of the assignment/mobilization. 3 “Home” means work in the office in the expert’s place of residence. “Field” work means work carried out in the site.



Full time input



Part time input

Form TECH-7

### CURRICULUM VITAE (CV)

|                               |                                                 |
|-------------------------------|-------------------------------------------------|
| <b>Position Title and No.</b> | {e.g., K-1, TEAM LEADER}                        |
| <b>Name of Firm</b>           | <i>Insert name of firm proposing the expert</i> |
| <b>Name of Expert:</b>        | {Insert full name}                              |
| <b>Date of Birth:</b>         | {day/month/year}                                |
| <b>Citizenship</b>            |                                                 |

**Education:** {List college/university or other specialized education, giving names of educational institutions, dates attended, degree(s)/diploma(s) obtained}

**Employment record relevant to the assignment:** {Starting with present position, list in reverse order. Please provide dates, name of employing organization, titles of positions held, *type of employment (full time, part time, contractual)*, types of activities performed and location of the assignment, and contact information of previous clients and employing organization(s) who can be contacted for references. Past employment that is not relevant to the assignment does not need to be included.}

| Period                   | Employing organization and your title/position. Contact information for references                                           | Country | Summary of activities performed relevant to the Assignment |
|--------------------------|------------------------------------------------------------------------------------------------------------------------------|---------|------------------------------------------------------------|
| [e.g., May 2005-present] | [e.g., Ministry of ....., advisor/consultant to...<br><br>For references: Tel...../e-mail.....; Mr. Bbbbbb, deputy minister] |         |                                                            |
|                          |                                                                                                                              |         |                                                            |
|                          |                                                                                                                              |         |                                                            |

**Membership in Professional Associations and Publications:**

**Language Skills (indicate only languages in which you can work):** \_\_\_\_\_

**Adequacy for the Assignment:**

| Detailed Tasks Assigned on Consultant's Team of Experts:                         | Reference to Prior Work/Assignments that Best Illustrates Capability to Handle the Assigned Tasks |
|----------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------|
| {List all deliverables/tasks as in TECH- 5 in which the Expert will be involved} |                                                                                                   |
|                                                                                  |                                                                                                   |

[Type here]



#### Section 4. Financial Proposal - Standard Forms

{Notes to Consultant shown in brackets { } provide guidance to the Consultant to prepare the Financial Proposals; they should not appear on the Financial Proposals to be submitted.}

Financial Proposal Standard Forms shall be used for the preparation of the Financial Proposal according to the instructions provided in Section 2.

- FIN-1 Financial Proposal Submission Form
- FIN-2 Summary of Costs
- FIN-3 Breakdown of Remuneration
- FIN-4 Other Expenses, Provisional Sums

Form FIN-1

## FINANCIAL PROPOSAL SUBMISSION FORM

Date: 2083/ /

To  
Chief Administrative Officer  
Office of the Municipal Executive,  
Gauriganga Municipality, Kailali  
Dear Sir,

We, the undersigned, offer to provide the consulting services for Initial Environment Examination (IEE) of the Sustainable extraction of river bed materials (Sand, Stone, Gravel and Boulder) from Shivaganga, Gauriganga, Kandra, Chaumala, Likma (Seti and Matkauna) and Andaiya Rivers of Gauriganga Municipality, Kailali in accordance with your Request for Proposal dated 2083-04-19 and our Technical Proposal.

Our attached Financial Proposal is for the amount of {Indicate the corresponding to the amount(s) currency(ies)} {Insert amount(s) in words and figures}, excluding Value Added Tax (VAT) *Clause 25.2 in the Data Sheet*. {Please note that all amounts shall be the same as in Form FIN-2}.

Our Financial Proposal shall be binding upon us subject to the modifications resulting from Contract negotiations, up to expiration of the validity period of the Proposal, i.e. before the date indicated in Clause 12.1 of the Data Sheet.

Commissions, gratuities or fees paid or to be paid by us to an agent or any other party relating to preparation or submission of this Proposal and Contract execution, paid if we are awarded the Contract, are listed below:

| Name and Address<br>of Agent(s)/Other party | Amount and<br>Currency | Purpose of Commission<br>or Gratuity |
|---------------------------------------------|------------------------|--------------------------------------|
| _____                                       | _____                  | _____                                |
| _____                                       | _____                  | _____                                |

{If no payments are made or promised, add the following statement: "No commissions, gratuities or fees have been or are to be paid by us to agents or any other party relating to this Proposal and, in the case of award, Contract execution."}

We understand you are not bound to accept any Proposal you receive.

We remain,

Yours sincerely,

Authorized Signature {In full}: \_\_\_\_\_

Name and Title of Signatory: \_\_\_\_\_

In the capacity of: \_\_\_\_\_

Address: \_\_\_\_\_

E-mail: \_\_\_\_\_

{For a joint venture, either all members shall sign or only the lead member/consultant, in which case the power of attorney to sign on behalf of all members shall be attached.}



Form FIN-2 Summary of Costs

| Item                                                    | Cost                                                                                                                                                                                  |                                        |                                        |                                                                    |
|---------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------|--------------------------------------------------------------------|
|                                                         | {Consultant must state the proposed Costs in accordance with Clause 16.4 of the Data Sheet. Payments will be made in the currency(ies) expressed. Delete columns which are not used.} |                                        |                                        |                                                                    |
|                                                         | {Insert Foreign Currency # 1}                                                                                                                                                         | {Insert Foreign Currency # 2, if used} | {Insert Foreign Currency # 3, if used} | {Insert Local Currency, if used and/or required (16.4 Data Sheet)} |
| <b>Competitive Components</b>                           |                                                                                                                                                                                       |                                        |                                        |                                                                    |
| Remuneration, Key Experts                               |                                                                                                                                                                                       |                                        |                                        |                                                                    |
| Remuneration, Non-Key Experts                           |                                                                                                                                                                                       |                                        |                                        |                                                                    |
| Reimbursable Expenses                                   |                                                                                                                                                                                       |                                        |                                        |                                                                    |
| <b>Sub-Total</b>                                        |                                                                                                                                                                                       |                                        |                                        |                                                                    |
| <b>Non-Competitive Components</b>                       |                                                                                                                                                                                       |                                        |                                        |                                                                    |
| Provisional Sums                                        |                                                                                                                                                                                       |                                        |                                        |                                                                    |
| <b>Sub-Total</b>                                        |                                                                                                                                                                                       |                                        |                                        |                                                                    |
| <b>Total Cost of the Financial Proposal<sup>4</sup></b> |                                                                                                                                                                                       |                                        |                                        |                                                                    |
| Value Added Tax (VAT)                                   |                                                                                                                                                                                       |                                        |                                        |                                                                    |

<sup>4</sup> Should match the amount in Form FIN-1.

**Form FIN-3 Breakdown of Remuneration<sup>5</sup>**

When used for Lump-Sum contract assignment, information to be provided in this Form shall only be used to demonstrate the basis for the calculation of the Contract's ceiling amount; to calculate applicable taxes at contract negotiations; and, if needed, to establish payments to the Consultant for possible additional services requested by the Client. This Form shall not be used as a basis for payments under Lump-Sum contracts

| No.                                                   | Name                    | Nationality | Currency | Person-month Remuneration Rate (Home)  | Time Input in Person/Month (from TECH-6) (Home)  | {Currency 1- as in FIN-2} | {Currency 2- as in FIN-2} | {Currency 3- as in FIN-2} | {Local Currency- as in FIN-2} |
|-------------------------------------------------------|-------------------------|-------------|----------|----------------------------------------|--------------------------------------------------|---------------------------|---------------------------|---------------------------|-------------------------------|
|                                                       | Position (as in TECH-6) | Firm        |          | Person-month Remuneration Rate (Field) | Time Input in Person/Month (from TECH-6) (Field) |                           |                           |                           |                               |
| <b>KEY EXPERTS (International)<sup>6</sup></b>        |                         |             |          |                                        |                                                  |                           |                           |                           |                               |
| 1.                                                    |                         |             |          |                                        |                                                  |                           |                           |                           |                               |
|                                                       |                         |             |          |                                        |                                                  |                           |                           |                           |                               |
| 2.                                                    |                         |             |          |                                        |                                                  |                           |                           |                           |                               |
|                                                       |                         |             |          |                                        |                                                  |                           |                           |                           |                               |
| Sub-Total Costs                                       |                         |             |          |                                        |                                                  |                           |                           |                           |                               |
| <b>KEY EXPERTS (National)</b>                         |                         |             |          |                                        |                                                  |                           |                           |                           |                               |
| 1.                                                    |                         |             |          |                                        |                                                  |                           |                           |                           |                               |
|                                                       |                         |             |          |                                        |                                                  |                           |                           |                           |                               |
| 2.                                                    |                         |             |          |                                        |                                                  |                           |                           |                           |                               |
|                                                       |                         |             |          |                                        |                                                  |                           |                           |                           |                               |
| Sub-Total Costs                                       |                         |             |          |                                        |                                                  |                           |                           |                           |                               |
| Total Costs: Key Experts (International and National) |                         |             |          |                                        |                                                  |                           |                           |                           |                               |
| <b>NON-KEY EXPERTS/SUPPORT STAFF</b>                  |                         |             |          |                                        |                                                  |                           |                           |                           |                               |
| 1.                                                    |                         |             |          |                                        |                                                  |                           |                           |                           |                               |
|                                                       |                         |             |          |                                        |                                                  |                           |                           |                           |                               |
| 2.                                                    |                         |             |          |                                        |                                                  |                           |                           |                           |                               |
|                                                       |                         |             |          |                                        |                                                  |                           |                           |                           |                               |

<sup>5</sup> In the case of selections that do not include cost as an evaluation factor (i.e., QBS, CQS, and SSS), the Client may use an expanded version of this Form to add columns to request social charges, overhead, other charges (such as premium for field assignments in difficult locations) and the multiplier.

<sup>6</sup> As identified in the Summary and Personnel Evaluation Sheet.

[Type here]

प्रमुख प्रशासनिक अधिकारी



|  |                                                    |  |  |  |  |
|--|----------------------------------------------------|--|--|--|--|
|  | Total Costs: Non-Key Experts/Support Staff         |  |  |  |  |
|  | TOTAL COSTS: KEY AND NON-KEY EXPERTS/SUPPORT STAFF |  |  |  |  |

**Consultant's Representations Regarding Costs and Charges**

(Expanded form to Fin-3 – QBS)

(Expressed in [insert name of currency\*])

| Personnel        |          | 1                                                  | 2                           | 3                     | 4        | 5                   | 6                               | 7                                              | 8                                                           |
|------------------|----------|----------------------------------------------------|-----------------------------|-----------------------|----------|---------------------|---------------------------------|------------------------------------------------|-------------------------------------------------------------|
| Name             | Position | Basic Remuneration Rate per Working Month/Day/Year | Social Charges <sup>1</sup> | Overhead <sup>1</sup> | Subtotal | Profit <sup>2</sup> | Away from Home Office Allowance | Proposed Fixed Rate per Working Month/Day/Hour | Proposed Fixed Rate per Working Month/Day/Hour <sup>1</sup> |
| Home Office      |          |                                                    |                             |                       |          |                     |                                 |                                                |                                                             |
|                  |          |                                                    |                             |                       |          |                     |                                 |                                                |                                                             |
|                  |          |                                                    |                             |                       |          |                     |                                 |                                                |                                                             |
|                  |          |                                                    |                             |                       |          |                     |                                 |                                                |                                                             |
|                  |          |                                                    |                             |                       |          |                     |                                 |                                                |                                                             |
| Client's Country |          |                                                    |                             |                       |          |                     |                                 |                                                |                                                             |
|                  |          |                                                    |                             |                       |          |                     |                                 |                                                |                                                             |
|                  |          |                                                    |                             |                       |          |                     |                                 |                                                |                                                             |
|                  |          |                                                    |                             |                       |          |                     |                                 |                                                |                                                             |
|                  |          |                                                    |                             |                       |          |                     |                                 |                                                |                                                             |

\* If more than one currency is used, use additional table(s), one for each currency

1. Expressed as percentage of 1

2. Expressed as percentage of 4

  
प्रमुख प्रशासकिय अधिकृत



Gauriganga Municipality  
Office Of Municipal Executive  
Chaumala, Kailali  
**Bill of Quantity**

Contract ID :- (GGM/CS/01/2083/84)

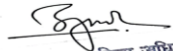
| S.N.                    | Description of Works                                                                                                                                                                                                                                                                               | Unit | No | Rate in Figure | Rate in words | Amount (NRS) | Remarks |
|-------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------|----|----------------|---------------|--------------|---------|
| 1                       | The Consulting Services for Initial Environment Examination (IEE) of the Sustainable extraction of river bed materials (Sand, Stone, Gravel and Boulder) from Shivaganga, Gauriganga, Kandra, Chaumala, Likma (Seti and Matkauna) and Andaiya Rivers of Gauriganga Municipality, Chaumala, Kailali | No   | 1  |                |               |              |         |
| Sub Total               |                                                                                                                                                                                                                                                                                                    |      |    |                |               |              |         |
| <a href="#">VAT@13%</a> |                                                                                                                                                                                                                                                                                                    |      |    |                |               |              |         |
| Grand Total Amount, NRs |                                                                                                                                                                                                                                                                                                    |      |    |                |               |              |         |
| In Words :-             |                                                                                                                                                                                                                                                                                                    |      |    |                |               |              |         |

Name of Firm :

Signature :

Seal :

Date :

  
प्रमुख प्रशासकिय अधिकृत



1-8

## Sample Form

Consultant:  
Assignment:

Country:  
Date:

We hereby confirm that:

- (a) the basic fees indicated in the attached table are taken from the firm's payroll records and reflect the current rates of the Experts listed which have not been raised other than within the normal annual pay increase policy as applied to all the Consultant's Experts;
- (b) attached are true copies of the latest pay slips of the Experts listed;
- (c) the away- from- home office allowances indicated below are those that the Consultant has agreed to pay for this assignment to the Experts listed;
- (d) the factors listed in the attached table for social charges and overhead are based on the firm's average cost experiences for the latest three years as represented by the firm's financial statements; and
- (e) said factors for overhead and social charges do not include any bonuses or other means of profit-sharing.

\_\_\_\_\_  
[Name of Consultant]

\_\_\_\_\_  
Signature of Authorized Representative

\_\_\_\_\_  
Date

Name: \_\_\_\_\_

Title: \_\_\_\_\_

\_\_\_\_\_  
[Type here]

## Sample Form

Consultant:  
Assignment:

Country:  
Date:

### Consultant's Representations Regarding Costs and Charges

We hereby confirm that:

- (a) the basic fees indicated in the attached table are taken from the firm's payroll records and reflect the current rates of the Experts listed which have not been raised other than within the normal annual pay increase policy as applied to all the Consultant's Experts;
- (b) attached are true copies of the latest pay slips of the Experts listed;
- (c) the away- from- home office allowances indicated below are those that the Consultant has agreed to pay for this assignment to the Experts listed;
- (d) the factors listed in the attached table for social charges and overhead are based on the firm's average cost experiences for the latest three years as represented by the firm's financial statements; and
- (e) said factors for overhead and social charges do not include any bonuses or other means of profit-sharing.

\_\_\_\_\_  
[Name of Consultant]

\_\_\_\_\_  
Signature of Authorized Representative

\_\_\_\_\_  
Date

Name: \_\_\_\_\_

Title: \_\_\_\_\_

**Form FIN-4 Breakdown Of Other Expenses, Provisional Sums and Contingency**

When used for Lump-Sum contract assignment, information to be provided in this Form shall only be used to demonstrate the basis for calculation of the Contract ceiling amount, to calculate applicable taxes at contract negotiations and, if needed, to establish payments to the Consultant for possible additional services requested by the Client. This form shall not be used as a basis for payments under Lump-Sum contracts

| Type of Expenses, Provisional Sums                     | Quantity | Unit | Currency | Unit Price | {Currency # 1- as in FIN-2} | {Currency # 2- as in FIN-2} | {Currency# 3- as in FIN-2} | {Local Currency- as in FIN-2} |
|--------------------------------------------------------|----------|------|----------|------------|-----------------------------|-----------------------------|----------------------------|-------------------------------|
| <b>Reimbursable Expenses</b>                           |          |      |          |            |                             |                             |                            |                               |
| {e.g., Per diem allowances}                            | {Day}    |      |          |            |                             |                             |                            |                               |
| {e.g., International flights}                          | {RT}     |      |          |            |                             |                             |                            |                               |
| {e.g., In/out airport transportation}                  | {Trip}   |      |          |            |                             |                             |                            |                               |
| {e.g., Communication costs}                            |          |      |          |            |                             |                             |                            |                               |
| { e.g., reproduction of reports}                       |          |      |          |            |                             |                             |                            |                               |
| {e.g., Office rent}                                    |          |      |          |            |                             |                             |                            |                               |
|                                                        |          |      |          |            |                             |                             |                            |                               |
|                                                        |          |      |          |            |                             |                             |                            |                               |
| <b>Sub-Total: Reimbursable Expenses</b>                |          |      |          |            |                             |                             |                            |                               |
| <b>Provisional Sums</b>                                |          |      |          |            |                             |                             |                            |                               |
| Item 1                                                 |          |      |          |            |                             |                             |                            |                               |
| Item 2                                                 |          |      |          |            |                             |                             |                            |                               |
|                                                        |          |      |          |            |                             |                             |                            |                               |
|                                                        |          |      |          |            |                             |                             |                            |                               |
|                                                        |          |      |          |            |                             |                             |                            |                               |
| <b>Sub-Total: Provisional Sums</b>                     |          |      |          |            |                             |                             |                            |                               |
| <b>Total: Reimbursable Expenses + Provisional Sums</b> |          |      |          |            |                             |                             |                            |                               |

\* Provisional Sums must be expressed in the currency indicated in the data sheet.

[Type here]

## Section 5. Eligible Countries

For GoN/Provincial Government funded:

For the purpose of National shortlisting: **"Nepal"**



## Section 6. Corrupt and Fraudulent Practices

[“Notes to the Client”: The following text is for GoN funded assignment and shall not be modified. In case DP funded project use DP’s policy on corrupt and fraudulent practices]

It is the GoN’s policy to require its implementing agencies, as well as consultants under GoN (or DP) financed contracts, to observe the highest standard of ethics during the selection and execution of such contracts. In pursuance of this policy, the GoN:

- a. defines, for the purposes of this provision, the terms set forth below as follows:
  - (i) “corrupt practice” means the offering, giving, receiving, or soliciting, directly or indirectly, anything of value to influence improperly the actions of another party;
  - (ii) “fraudulent practice” means any act or omission, including a misrepresentation, that knowingly or recklessly misleads, or attempts to mislead, a party to obtain a financial or other benefit or to avoid an obligation;
  - (iii) “coercive practice” means impairing or harming, or threatening to impair or harm, directly or indirectly, any party or the property of the party to influence improperly the actions of a party;
  - (iv) “collusive practice” means an arrangement between two or more parties designed to achieve an improper purpose, including influencing improperly the actions of another party.
  - (iv) “obstructive practice” means:
    - (aa) deliberately destroying, falsifying, altering or concealing of evidence material to the investigation or making false statements to investigators in order to materially impede a GoN/DP investigation into allegations of a corrupt, fraudulent, coercive or collusive practice; and/or threatening, harassing or intimidating any party to prevent it from disclosing its knowledge of matters relevant to the investigation or from pursuing the investigation; or
    - (bb) acts intended to materially impede the exercise of the GoN’s/DP’s inspection and audit rights provided for under Clause GCC 25.2.
- b. will reject a proposal for award if it determines that the consultant recommended for award has engaged in corrupt or fraudulent activities in competing for the contract in question;
- c. will cancel the consultant’s contract if it at any time determines that corrupt or fraudulent practices were engaged in by representatives of the consultant or the Client during the selection process or the execution of that contract;
- d. will blacklist a consultant for a stated period of time, to be awarded a contract if it at any time determines that the consultant has engaged in corrupt or fraudulent practices in competing for, or in executing, a contract; and
- e. will have the right to require that, a provision be included requiring consultants to permit the Client to inspect their accounts and records relating to the performance of the contract and to have them audited by auditors appointed by the Client.



**GAURIGANGA MUNICIPALITY  
OFFICE OF THE MUNICIPAL EXECUTIVE  
CHAUMALA, KAILALI  
PROVINCE SUDURPASCHIM, NEPAL**

**TOR**

**For**

**The Consulting Services for Initial Environment Examination (IEE) of the Sustainable extraction of river bed materials (Sand, Stone, Gravel and Boulder) from Shivaganga, Gauriganga, Kandra, Chaumala, Likma (Seti and Matkauna) and Andaiya Rivers of Gauriganga Municipality, Chaumala, Kailali**

**Terms of References for Consulting Services for conducting Initial Environmental Examination of Sustainable Extraction of Sand, Stone, Gravel and Boulder of Andaiya, Likma, Shivaganga, Kandra, Gauriganga and Chaumala River of Gauriganga Municipality, Kailali, Sudurpaschim Province**

**1. Background**

This document containing brief description of the proposal and the Terms of References (TOR) has been developed specifically for hiring services of an independent and professionally competent environmental consultancy firm for conducting Environmental Study of the Sustainable Sand, Stone, Gravel and Boulder Extraction and collection from **Andaiya, Likma, Shivaganga, Kandra, Gauriganga and Chaumala Rivers** and associated rivulets of Gauriganga Municipality, Kailali district. Gauriganga Municipality of Kailali district has a huge potential for the river bed materials like sand, stone, gravel and boulder. Utilization of these resources on sustainable manner helps to improve the livelihood of rural people, maintaining river morphology and boost up municipality revenue. The proponent is planning to mobilize these resources on sustainable and environmentally sound manner because the demand rate is high for infrastructure construction. An environmental study of the proposed extraction of Sand, stone, gravel and boulder from the river is necessary in order to assess the environmental consequences of the project along with to support appropriate, practical and site-specific mitigation and enhancement measures. It will furthermore boost up the economic condition of the local inhabitants.

For extraction of river material municipality conducted environmental study in fiscal year **2081/082** that study shows per day extraction is greater than 100 and less 300 cum which indicates IEE needed before extraction of river material. Therefore, as taking reference of pervious environmental study Initial Environmental Examination (IEE) recommended for fiscal year **2083/084 to 2084/085** to sustainable extraction of river material. Detail of pervious study is given below

| S.N. | River Name              | Sustainable Extraction Quantity/year | Sustainable Extraction Quantity/day | Environmental Study Conducted |
|------|-------------------------|--------------------------------------|-------------------------------------|-------------------------------|
| 1    | Shivaganga and Chaumala | 31775                                | 117.68                              | IEE                           |
| 2    | Kandra                  | 66476                                | 246.2                               | IEE                           |
| 3    | Gauriganga              | 44031                                | 163                                 | IEE                           |
| 4    | Likma and Andaiya       | 27424                                | 101.57                              | IEE                           |

The IEE is also a legal requirement before the implementation of project as per the provision of schedule 2-K-7 related to Rule 3 of the Environment Protection Rule (EPR, 2020), per 2-C-7 related to Rule 3 of the Sudur Paschim Province EPA 2020 and as schedule 1 Mines Sector of the Gauriganga Municipality Brief Environmental Study and Initial Environmental Examination Procedure, 2077. Thus, the IEE study of the proposal is mandatory as per the provision.

## 2. Proposal Area delineation

Location, accessibility of the project site, activities to be carried out (collection, extraction, excavation, river/stream diversion, site clearance, washing, processing, storage, transportation, use of machineries, no. of labourers, machines and its type) collection/extraction site/area, type of materials to be collected, quantity, collection/extraction period.

The consultant shall be responsible for setting the extraction sites and will get information about area delineation for extraction sites of each river by field visit itself and through Gauriganga Municipality Revenue Section.

## 3. Objectives of ToR

The Gauriganga Municipality is now required to prepare an Initial Environmental Examination (IEE) report before implementation of the proposed proposal. Thus, the main objective of the assignment is to conduct IEE study for the sustainable extraction, collection and transportation of stone aggregates and sand (gravel) from **Andayiya, Likma, Shivaganga, Kandra, Gauriganga and Chaumala Rivers**. The objectives of the ToR are as follows:

- To identify all the adverse and beneficial impacts likely to arise due to implementation of the Proposal.
- To reflect the main issues identified in the form of ToR
- To systematize the working procedure and listing of various activities to be performed.
- To delineate the working procedure and fitting the IEE report within the policy and legal context.
- To prepare TOR and IEE report for sustainable extraction, collection and transportation of stone aggregates and sand (gravel) from proposed rivers of Gauriganga Municipality.
- To take approval of prepared ToR and IEE report of proposed rivers as per legal provisions.

## 4. Scope of Work

The major scopes of the study are as follows:

- To prepare IEE report, which shall be prepared accordingly as per EPA 2019, EPR 2020 Sudur Paschim Province EPA 2020 and Gauriganga Municipality Brief Environmental Study and Initial Environmental Examination Procedure, 2077.
- To provide advice, free of cost, on any environmental issue that may arise during implementation stage of the scheme.

## 5. Data Collection

The TOR of IEE already mentioned the methods and techniques, which should be carried out by employed during data collection. For example, the Tor should indicate the data sources and data collection methods, particularly the primary and the second data. It could also include methods, which shall be used while collecting the information as per that TOR method information will be prepare IEE report.

## 5.1 Field Survey and Site Investigation

In order to meet the above objectives, the different methods for information collection such as walk-through survey, inventory survey, sampling, focused group discussion and household surveys may use. The secondary information through published and unpublished reports, and maps and photographs interpretation may also use. The Consultant/firm shall collect and analyze bio-physical environmental information in the Proposal area (High Impact Area). Socio-economic information should be collected and analyzed for 5 km or 1.5-hour walking distance (depending upon the settlement pattern) from the boundary of the Proposal area on all sides (immediate influence area as Low Impact Area) Gauriganga Municipality falls under this category. An IEE study shall make every effort to quantify and evaluate site-specific impacts to the extent applicable in all resources within the High Impact Area. The census shall be carried out particularly to collect data regarding households whose land or houses located within the Proposal area and those that are to be acquired.

### 5.1.1 Physical Environment

Information on the physical environment shall be collected through literature, maps, and walk-through surveys. The use of appropriate and calibrated equipment is encouraged to quantify information.

### 5.1.2 Biological Environment

Information on biological environments such as vegetation shall be collected by standard ecological methodologies shall be adopted in order to quantify vegetation of the forest of all possible categories such as disturbed, medium disturbed and undisturbed. Number of trees to be cleared for implementation of the Proposal shall be recorded by counting method or sample plot method. Wildlife distribution and occurrence in the Proposal area shall be collected through pug marks of the wild animals, jungle trek and observation method including discussion with the local people. Fishery information shall be generated by capture-recapture method, and by asking the local people and site observation. The Proponent may use data sheets to collect the field level information.

### 5.1.3 Socio-Economic Environment

Socio-economic information shall be collected by using questionnaires, checklists, observation, interviewing with the local people, focus group discussion, Participatory Rural Appraisal (PRA), discussion with district level offices, Municipality and community groups. The Proponent shall collect information on possible damage of infrastructures, and community services through checklists and focus group discussion. Information on land acquisition and compensation issues shall be collected through intensive household survey at least in the direct impact zone.

## 5.2 Public Notice

While preparing the IEE report, a 7 days public notice must be published in the national daily newspaper to inform the stakeholders about the Proposal activities. Further, the notice shall be affixed in the offices of concerned Municipality, DCC, schools, hospitals, health posts and other public offices located near to the

Proposal area requesting them to provide their written opinions, suggestions and comments about the Proposal implementation activities.

### 5.3 Public Consultation and Recommendation

During data collection for IEE study, 7 day public consultation notice shall be public in the municipality website and in newspapers and public hearings shall be conducted at appropriate places considering the views, suggestions and comments on the Proposal implementation. Public consultation should carried out through focus group discussions with targeted groups such as women, key informants and other groups. Recommendations of affected municipality's wards as per EPR shall be collected and attached in the IEE report. Also, if the Proposal requires forest land of community forest to be cleared, the recommendations from these affected community forest shall be collected and attached in the IEE report. If the Proposal requires land from government forest/community forest or trees are needed to be cut down from them, then comments and suggestions from Division Forest Office and affected community forests should be attached in the IEE report along with their recommendation letters.

### 5.4 Data Analysis and Impact Prediction

After collecting baseline field data from the Proposal area on physical, biological, socio- economic and cultural environment, these will be analyzed and interpreted as per EPA 2019, EPR 2020 Sudur Paschim Province EPA 2020 and Gauriganga Municipality Brief Environmental Study and Initial Environmental Examination Procedure, 2077. The analysis shall be made on the nature, magnitude, duration and extent of the impacts due to construction and operation activities of the Proposal. Mitigation measures and monitoring plans shall be described for each impact.

Data collection for IEE study as aforementioned methods shall first be compiled and classified to relevant components (Physical, Cultural, Socio-Economic and Biological) for convenience and to avoid possible mismatches. Computer software with usage of expert's opinions shall be the primary tool to process data coming from physical, socio economic and cultural domains.

#### 5.4.1 Existing environmental condition

Environmental study team also carried out field visits to the proposed area in **Andayiya, Likma, Shivaganga, Kandra, Gauriganga and Chaumala Rivers** area during ToR and IEE report preparation and following observations should made on the environment of the proposal.

#### Physical Environment

- Topography
- Climate and Hydrology Geology
- Soil Erosion and Landslide

- Land Use Air and Water quality, Noise Quality

### **Biological Environment**

The Proposal area belongs to the free zone. There is no national park, wildlife sanctuary, buffer zone or conservation area in or around the proposal area.

- Forest and Flora
- Forest type, community forest, plant/tree species
- Plant Species of Medicinal and Ethno-Botanical Values
- Fauna
- Fishes
- Rare, Endangered and Protected Species of Flora and Fauna

### **Socio-economic and Cultural Environment**

- Population
- Ethnicity and Settlements
- Occupation Local Economy Literacy
- Health and Sanitation
- Public Facilities

### **5.5 Policy, Law and Manual to be undertaken into account while preparing the report**

The policy documents, acts, regulations and guidelines as indicated shall be reviewed and relevant provisions shall be referred to in the IEE report. A concrete recommendation shall be made in the IEE report as to whether or not the Proposal could be implemented after reviewing acts and regulations. Any legal provision that surfaces against Proposal implementation will be investigated and put forth with recommendations, if felt necessary will be made in the IEE report.

Any significant conflicts and issues related to the environment and others should identify and recommendations shall be based on the above-mentioned policies, rules and regulations. The consultant/firm shall describe the pertinent regulations and standards governing environmental quality, health and safety, protection of the sensitive areas, protection of the endangered species, land use control etc. At district, Municipality and ward level. The proponent shall also review the responsibilities of the local, district, and central level institutions and recommended steps to ensure necessary coordination during the Proposal implementation.

### **5.6 Preparation of Report**

The Consultant shall conduct Initial Environmental Examination Study and prepare IEE report in the format prescribed in the Schedule 11 of EPR, 2020 and Schedule 11 of Sudur Paschim Province EPA 2020.

## 6. Consultant's Core Team of Professionals

The core team Qualification and Experience should be as per schedule 13 of EPR 2077 to be eligible for further evaluation.

### (A) Team leader/Environmental Expert

The Team leader will take the overall responsibility of the assignment in accordance with the TOR and also for the conduction and coordination of all professional inputs. He/ She will prepare a site-specific Environmental Management Action Plan for each site. The Team leader should have minimum a M.Sc. Environmental (Science/Engineering/Management) with the knowledge of conducting BES/IEE/EIA and as per schedule 13 of 2020;

**(B) Subject Expert (Subject Expert)** Subject expert will have Qualification and experience as per schedule 13 of EPR 2020.

### (C) Ecologist/Forester/Biologist/Wild Life Expert/Botanist

The responsibility will be to assess and evaluate interactions of the project activities with the local flora and fauna and to suggest mitigation measures for its protection where the project activities are likely to impinge upon the flora or fauna in any way. He/she will be responsible for identifying sensitive species of the plants and animals and measures for their protection during both extraction and transportation stage. He/She should have qualification and experience as per schedule 13 of EPR 2077.

### (D) Socio-Economist/Sociologist/Social Science Expert/Civil Engineer

The sociologist will collect the social and economic data of the project area in order to identify the socio-economic baseline information. On the basic of these data, he/she will be responsible for preparation of issues, identify and predict the respective impacts, propose appropriate mitigation measures, and monitoring mechanism etc. The Sociologist should have a Master's degree in the field and experience as per schedule 13 of EPR 2077.

## 7. Time

Consultant shall commence the work from date of signing agreement and prescribed work plan for completion IEE as well as approval of the documents should be mentioned in proposal. The IEE reports must be submitted within 2 months of agreement to Gauriganga Municipality. The detail of time frame is given in figure.

| Activities                                        | Week |   |   |   |   |
|---------------------------------------------------|------|---|---|---|---|
|                                                   | 1    | 2 | 3 | 4 | 5 |
| Literature review and preparation of ToR document |      |   |   |   |   |
| ToR document submission and approval              |      |   |   |   |   |
| Field Visit Data Collection                       |      |   |   |   |   |



|                                                                                   |  |  |  |  |  |
|-----------------------------------------------------------------------------------|--|--|--|--|--|
| Draft IEE report preparation                                                      |  |  |  |  |  |
| Public hearing notice publication, public consultation meeting                    |  |  |  |  |  |
| Public Notice (7 day) and collect recommendation letter                           |  |  |  |  |  |
| Incorporate comment and suggestion from public consultation and from 7 day notice |  |  |  |  |  |
| Final IEE report writing presentation                                             |  |  |  |  |  |
| Submission of Final report and approval with incorporating comments               |  |  |  |  |  |
| Demarcation of site                                                               |  |  |  |  |  |

## 8. Deliverables

The ToR format should strictly follow the schedule 7 of EPR 2020 and schedule 7 of Sudur Paschim Province EPR 2020 and final report format should strictly follow the Schedule 11 of EPR 2020 and Schedule 11 of Sudur Paschim Province EPR 2020. Consultant should submit TOR as well as draft Report to Gauriganga Municipality for review and after review, should submit Final IEE reports to Gauriganga Municipality. Step for approval of IEE Report

1. Submission of Draft ToR to Municipality
2. Review by Technical Committee formed as according to Gauriganga Municipality Brief Environmental Study and Initial Environmental Examination Procedure, 2077
3. Comment address in ToR
4. Approval of ToR as per Gauriganga Municipality Brief Environmental Study and Initial Environmental Examination Procedure, 2077
5. Field study as per tor procedure
6. Public Hearing Notice
7. Public Notice
8. Draft Report Submission and presentation
9. The Consultant should present draft report to Concerned Authority before submission of draft report. Issues Raised in Presentations must be addressed quickly. Recommendation letters from stakeholders (community forest, National Forest, Chure office) is must in report, such extraction points which creates ambiguity and confusions among stake holders that goes beyond boundary of Gauriganga Municipality must not be included in the report. Addressing Comment and Submitting final report (3 copies of each IEE report) with heavy equipment machine procedure of every site
10. There must be separate IEE reports for each river. The report Format should strictly follow the Schedule 11 of EPR 2020. Final report must be signed by Core team members as mentioned in The

CV during Evaluation and presentation must be given by the same members. Report Must Include Survey Map along with location map with atleast One Survey point with in a grid of 10m \*10m for every Ghaats

11. Demarcation of site for every Naakas.

12. Extraction Quantity review and final Quantity Extracted quantity Calculations

## 9. Documents required from the Consultant

The Consultant firm should submit the following documents at the time of bidding:

- Proof of the Firm's registration.
- Proof of the Firm's VAT registration.
- Firm's Memorandum copy and Profile.
- Latest Tax clearance letter
- CV of Team Leader and other experts with signature with general and specific experience as per schedule 13.
- Time Schedule with methodology
- Firms experience detail in General EIA/IEE/BES and Specific EIA/IEE/BES with experience letter
- Understand of TOR and Methodology
- During agreement consultant should submit evidence of 5% Consultant Performance Security
- Other documents including Professional Liability Insurance.

## 10. Changes to Work

The consultant shall make such changes and revisions in the completed work as necessary to correct errors appearing there in, when required to do so by the proponent without additional compensation thereof. Should the proponent finds it described for its own purposes to have previously satisfactorily completed work or parts thereof changed or revised, the consultant shall make such revisions as directed by the proponent.

## 11. Payment

Following shall govern the payment for the assignment.

- Payment on approval of the final IEE Report by Legislative body of Municipality along with preparation of initial survey map and demarcation of site with benchmarks.

## 12. Conclusion and Recommendations

This section should clearly indicate whether IEE report is sufficient or further assessment is needed. Likewise, it should also recommend that what aspects should be covered if further environmental assessment is needed.

## 13. Miscellaneous

Reference materials should be mentioned if used during IEE report preparation in standard format.

## I.

## II. General Conditions of Contract

### A. GENERAL PROVISIONS

#### 1. Definitions

1.1. Unless the context otherwise requires, the following terms whenever used in this Contract have the following meanings:

- (a) “Applicable Guidelines” means the policies of the Development Partner (DP) governing the selection and Contract award process, in case of DP funded project.
- (b) “Applicable Law” means the laws and any other instruments having the force of law in Nepal as they may be issued and in force from time to time.
- (c) “Borrower [or Recipient or Beneficiary]” means the Government, Government agency or other entity that signs the financing [or loan/grant/project] agreement with the Development Partner.
- (d) “Client” means [procuring entity/the implementing/ executing] agency that signs the Contract for the Services with the Selected Consultant.
- (e) “Consultant” means a legally-established professional consulting firm or entity selected by the Client to provide the Services under the signed Contract.
- (f) “Contract” means the legally binding written agreement signed between the Client and the Consultant and which includes all the attached documents listed in its paragraph 1 of the Form of Contract (the General Conditions (GCC), the Special Conditions (SCC), and the Appendices).
- (g) “Day” means a working day unless indicated otherwise.
- (h) “Development Partner (DP)” means the country/institution funding the project **as specified in the SCC.**
- (i) “Effective Date” means the date on which this Contract comes into force and effect pursuant to Clause GCC 11.
- (j) “Experts” means, collectively, Key Experts, Non-Key Experts or any other personnel of the Consultant, Sub-consultant or JV member(s) assigned by the Consultant to perform the Services or any part thereof under the Contract.
- (k) “Foreign Currency” means any currency other than the currency of the Client’s country.
- (l) “GCC” means these General Conditions of Contract.

- (m) “Government” means the government of Nepal (GoN).
- (n) “Joint Venture (JV)” means an association with or without a legal personality distinct from that of its members, of more than one entity where one member has the authority to conduct all businesses for and on behalf of any and all the members of the JV, and where the members of the JV are jointly and severally liable to the Client for the performance of the Contract.
- (o) “Key Expert(s)” means an individual professional whose skills, qualifications, knowledge and experience are critical to the performance of the Services under the Contract and whose Curricula Vitae (CV) was taken into account in the technical evaluation of the Consultant’s proposal.
- (p) “Local Currency” means the currency of Nepal (NPR).
- (q) Non-Key Expert(s)” means an individual professional provided by the Consultant or its Sub-consultant to perform the Services or any part thereof under the Contract.
- (r) “Party” means the Client or the Consultant, as the case may be, and “Parties” means both of them.
- (s) “SCC” means the Special Conditions of Contract by which the GCC may be amended or supplemented but not over-written.
- (t) “Services” means the work to be performed by the Consultant pursuant to this Contract, as described in Appendix A hereto.
- (u) “Sub-consultants” means an entity to whom/which the Consultant subcontracts any part of the Services while remaining solely liable for the execution of the Contract.
- (v) “Third Party” means any person or entity other than the Government, the Client, the Consultant or a Sub-consultant.

## 2. Relationship between the Parties

2.1. Nothing contained herein shall be construed as establishing a relationship of master and servant or of principal and agent as between the Client and the Consultant. The Consultant, subject to this Contract, has complete charge of the Experts and Sub-consultants, if any, performing the Services and shall be fully responsible for the Services performed by them or on their behalf hereunder.

## 3. Law Governing Contract

3.1. This Contract, its meaning and interpretation, and the relation between the Parties shall be governed by the Applicable Law of Nepal.

## 4. Language

4.1. This Contract has been executed in the English language, which shall be the binding and controlling language for all matters relating to the meaning or interpretation of this Contract.

- 5. Headings** 5.1. The headings shall not limit, alter or affect the meaning of this Contract.
- 6. Communications** 6.1. Any communication required or permitted to be given or made pursuant to this Contract shall be in writing in the language specified in Clause GCC 4. Any such notice, request or consent shall be deemed to have been given or made when delivered in person to an authorized representative of the Party to whom the communication is addressed, or when sent to such Party at the address specified in the **SCC**.
- 6.2. A Party may change its address for notice hereunder by giving the other Party any communication of such change to the address specified in the **SCC**.
- 7. Location** 7.1. The Services shall be performed at such locations as are specified in **Appendix A** hereto and, where the location of a particular task is not so specified, at such locations, whether in the Government's country or elsewhere, as the Client may approve.
- 8. Authority of Member in Charge** 8.1. In case the Consultant is a Joint Venture, the members hereby authorize the member specified in the **SCC** to act on their behalf in exercising all the Consultant's rights and obligations towards the Client under this Contract, including without limitation the receiving of instructions and payments from the Client.
- 9. Authorized Representatives** 9.1. Any action required or permitted to be taken, and any document required or permitted to be executed under this Contract by the Client or the Consultant may be taken or executed by the officials specified in the **SCC**.
- 10. Corrupt and Fraudulent Practices** 10.1 The GoN/DP requires compliance with its policy in regard to corrupt and fraudulent/prohibited practices as set forth in **Attachment 1** to the GCC.
- a. Commissions and Fees** 10.2 The Client requires the Consultant to disclose any commissions, gratuities or fees that may have been paid or are to be paid to agents or any other party with respect to the selection process or execution of the Contract. The information disclosed must include at least the name and address of the agent or the other party, the amount and currency, and the purpose of the commission, gratuity or fee. Failure to disclose such commissions and gratuities may result in termination of the Contract.

## **B. COMMENCEMENT, COMPLETION, MODIFICATION AND TERMINATION OF CONTRACT**

- 11. Effectiveness of Contract** 11.1. This Contract shall come into force and effect on the date (the "Effective Date") of the Client's notice to the Consultant instructing the Consultant to begin carrying out the Services. This notice shall confirm that the effectiveness conditions, if any, listed in the **SCC** have been met.

- 12. Termination of Contract for Failure to Become Effective** 12.1. If this Contract has not become effective within such time period after the date of Contract signature as specified in the **SCC**, either Party may, by not less than thirty (30) days written notice to the other Party, declare this Contract to be null and void, and in the event of such a declaration by either Party, neither Party shall have any claim against the other Party with respect hereto.
- 13. Commencement of Services** 13.1. The Consultant shall confirm availability of Key Experts and begin carrying out the Services not later than the number of days after the Effective Date specified in the **SCC**.
- 14. Expiration of Contract** 14.1. Unless terminated earlier pursuant to Clause GCC 19 hereof, this Contract shall expire at the end of such time period after the Effective Date as **specified in the SCC** or such other time period as the Parties may agree in writing.
- 15. Entire Agreement** 15.1. This Contract contains all covenants, stipulations and provisions agreed by the Parties. No agent or representative of either Party has authority to make, and the Parties shall not be bound by or be liable for, any statement, representation, promise or agreement not set forth herein.
- 16. Modifications or Variations** 16.1. Any modification or variation of the terms and conditions of this Contract, including any modification or variation of the scope of the Services, may only be made by written agreement between the Parties. However, each Party shall give due consideration to any proposals for modification or variation made by the other Party.
- 17. Force Majeure**
- a. Definition** 17.1. For the purposes of this Contract, "Force Majeure" means an event which is beyond the reasonable control of a Party, is not foreseeable, is unavoidable, and makes a Party's performance of its obligations hereunder impossible or so impractical as reasonably to be considered impossible under the circumstances, and subject to those requirements, includes, but is not limited to, war, riots, civil disorder, earthquake, fire, explosion, storm, flood or other adverse weather conditions, strikes, lockouts or other industrial action, confiscation or any other action by Government agencies.
- 17.2. Force Majeure shall not include (i) any event which is caused by the negligence or intentional action of a Party or such Party's Experts, Sub-consultants or agents or employees, nor (ii) any event which a diligent Party could reasonably have been expected to both take into account at the time of the conclusion of this Contract, and avoid or overcome in the carrying out of its obligations hereunder.
- 17.3. Force Majeure shall not include insufficiency of funds or failure to make any payment required hereunder.
- b. No Breach of Contract** 17.4. The failure of a Party to fulfill any of its obligations hereunder shall not be considered to be a breach of, or default under, this

Contract insofar as such inability arises from an event of Force Majeure, provided that the Party affected by such an event has taken all reasonable precautions, due care and reasonable alternative measures, all with the objective of carrying out the terms and conditions of this Contract.

**c. Measures to be Taken**

17.5. A Party affected by an event of Force Majeure shall take all reasonable measures to remove such Party's inability to fulfill its obligations hereunder with a minimum of delay.

17.6. A Party affected by an event of Force Majeure shall continue to perform its obligations under the Contract as far as is reasonably practical, and shall take all reasonable measures to minimize the consequences of any event of Force Majeure.

17.7. A Party affected by an event of Force Majeure shall notify the other Party of such event as soon as possible, and in any case not later than fifteen (15) calendar days following the occurrence of such event, providing evidence of the nature and cause of such event, and shall similarly give written notice of the restoration of normal conditions as soon as possible.

**d Extension of Time (EoT)**

17.8. Any period within which a Party shall, pursuant to this Contract, complete any action or task, shall be extended for a period equal to the time during which such Party was unable to perform such action as a result of Force Majeure.

17.9. During the period of their inability to perform the Services as a result of an event of Force Majeure, the Consultant, upon instructions by the Client, shall either:

- (a) demobilize, in which case the Consultant shall be reimbursed for additional costs they reasonably and necessarily incurred, and, if required by the Client, in reactivating the Services; or
- (b) continue with the Services to the extent reasonably possible, in which case the Consultant shall continue to be paid under the terms of this Contract and be reimbursed for additional costs reasonably and necessarily incurred.

17.10. The Consultant shall submit an application to the Client for extension of time, stating the causes for delay with supporting evidence within 7 days before the expiry of the Contract completion date. The approval of EoT shall be subject to verification by the Client whether:

- (a) the consultant had made the best possible efforts to complete the work in due time ,
- (b) the facilities to be provided by the Client as per the contract to the Consultant was made in time or not,
- (c) the delay was as a result of Force Majeure or not.



## 18. Suspension

18.1. The Client may, by written notice of suspension to the Consultant, suspend all payments to the Consultant hereunder if the Consultant fails to perform any of its obligations under this Contract, including the carrying out of the Services, provided that such notice of suspension (i) shall specify the nature of the failure, and (ii) shall request the Consultant to remedy such failure within a period not exceeding thirty (30) calendar days after receipt by the Consultant of such notice of suspension.

## 19. Termination

19.1 This Contract may be terminated by either Party as per provisions set up below:

### a. By the Client

19.1.1 The Client may terminate this Contract in case of the occurrence of any of the events specified in paragraphs (a) through (e) of this Clause. In such an occurrence the Client shall give at least thirty (30) calendar days' written notice of termination to the Consultant in case of the events referred to in (a) through (d); and at least sixty (60) calendar days' written notice in case of the event referred to in (e):

- (a) If the Consultant fails to remedy a failure in the performance of its obligations hereunder, as specified in a notice of suspension pursuant to Clause GCC 18 hereinabove, within thirty (30) days of receipt of such notice of suspension or within such further period as the Client may have subsequently approved in writing;
- (b) If the Consultant becomes (or, if the Consultant consists of more than one entity, if any of its members becomes) insolvent or bankrupt or enter into any agreements with their creditors for relief of debt or take advantage of any law for the benefit of debtors or go into liquidation or receivership whether compulsory or voluntary;
- (c) If the Consultant fails to comply with any final decision reached as a result of arbitration proceedings pursuant to Clause GCC 48.1;
- (d) If, as the result of Force Majeure, the Consultant is unable to perform a material portion of the Services for a period of not less than sixty (60) calendar days;
- (e) If the Client, in its sole discretion and for any reason whatsoever, decides to terminate this Contract;
- (f) If the Consultant fails to furnish the professional liability insurance within 30 days from the date of signing of the contract agreement.

19.1.2 Furthermore, if the Client determines that the Consultant has engaged in corrupt, fraudulent, collusive, coercive *[or obstructive]* practices, in competing for or in executing the Contract, then the Client may, after giving fifteen



(15) calendar days written notice to the Consultant, terminate the Consultant's employment under the Contract.

**b. By the Consultant**

19.1.3 The Consultant may terminate this Contract, by not less than thirty (30) calendar days' written notice to the Client, in case of the occurrence of any of the events specified in paragraphs (a) through (d) of this Clause.

- (a) If the Client fails to pay any money due to the Consultant pursuant to this Contract and not subject to dispute pursuant to Clauses GCC 48.1 within forty-five (45) calendar days after receiving written notice from the Consultant that such payment is overdue.
- (b) If, as the result of Force Majeure, the Consultant is unable to perform a material portion of the Services for a period of not less than sixty (60) calendar days.
- (c) If the Client fails to comply with any final decision reached as a result of arbitration pursuant to Clause GCC 48.1.
- (d) If the Client is in material breach of its obligations pursuant to this Contract and has not remedied the same within forty-five (45) days (or such longer period as the Consultant may have subsequently approved in writing) following the receipt by the Client of the Consultant's notice specifying such breach.

**c. Cessation of Rights and Obligations**

19.1.4 Upon termination of this Contract pursuant to Clauses GCC 12 or GCC 19 hereof, or upon expiration of this Contract pursuant to Clause GCC 14, all rights and obligations of the Parties hereunder shall cease, except (i) such rights and obligations as may have accrued on the date of termination or expiration, (ii) the obligation of confidentiality set forth in Clause GCC 23, (iii) the Consultant's obligation to permit inspection, copying and auditing of their accounts and records set forth in Clause GCC 26, and (iv) any right which a Party may have under the Applicable Law.

**d. Cessation of Services**

19.1.5 Upon termination of this Contract by notice of either Party to the other pursuant to Clauses GCC 19a or GCC 19b, the Consultant shall, immediately upon dispatch or receipt of such notice, take all necessary steps to bring the Services to a close in a prompt and orderly manner and shall make every reasonable effort to keep expenditures for this purpose to a minimum. With respect to documents prepared by the Consultant and equipment and materials furnished by the Client, the Consultant shall proceed as provided, respectively, by Clauses GCC 28 or GCC 29.

**e. Payment upon**

19.1.6 Upon termination of this Contract, the Client shall make the following payments to the Consultant:

**Termination**

- (a) payment for Services satisfactorily performed prior to the effective date of termination; and
- (b) in the case of termination pursuant to paragraphs (d) and (e) of Clause GCC 19.1.1, reimbursement of any reasonable cost incidental to the prompt and orderly termination of this Contract, including the cost of the return travel of the Experts.

**C. OBLIGATIONS OF THE CONSULTANT**

**20. General**

**a. Standard of Performance**

20.1 The Consultant shall perform the Services and carry out the Services with all due diligence, efficiency and economy, in accordance with generally accepted professional standards and practices, and shall observe sound management practices, and employ appropriate technology and safe and effective equipment, machinery, materials and methods. The Consultant shall always act, in respect of any matter relating to this Contract or to the Services, as a faithful adviser to the Client, and shall at all times support and safeguard the Client's legitimate interests in any dealings with the third parties.

20.2 The Consultant shall employ and provide such qualified and experienced Experts and Sub-consultants as are required to carry out the Services.

20.3 The Consultant may subcontract part of the Services to an extent and with such Key Experts and Sub-consultants as may be approved in advance by the Client. Notwithstanding such approval, the Consultant shall retain full responsibility for the Services.

**b. Law Applicable to Services**

20.4 The Consultant shall perform the Services in accordance with the Contract and the Applicable Law and shall take all practicable steps to ensure that any of its Experts and Sub-consultants, comply with the Applicable Law.

20.5 Throughout the execution of the Contract, the Consultant shall comply with the import of goods and services prohibitions in the Client's country when

- (a) as a matter of law or official regulations, Client's country prohibits commercial relations with that country; or
- (b) by an act of compliance with a decision of the United Nations Security Council taken under Chapter VII of the Charter of the United Nations, the Client's Country prohibits any import of goods from that country or any payments to any country, person, or entity in that country.

20.6 The Client shall notify the Consultant in writing of relevant local customs, and the Consultant shall, after such notification,

respect such customs.

## 21. Conflict of Interests

21.1 The Consultant shall hold the Client's interests paramount, without any consideration for future work, and strictly avoid conflict with other assignments or their own corporate interests.

### a. Consultant Not to Benefit from Commissions, Discounts, etc.

21.1.1 The payment of the Consultant pursuant to GCC F (Clauses GCC 39 through 45) shall constitute the Consultant's only payment in connection with this Contract and, subject to Clause GCC 21.1.3, the Consultant shall not accept for its own benefit any trade commission, discount or similar payment in connection with activities pursuant to this Contract or in the discharge of its obligations hereunder, and the Consultant shall use its best efforts to ensure that any Sub-consultants, as well as the Experts and agents of either of them, similarly shall not receive any such additional payment.

21.1.2 Furthermore, if the Consultant, as part of the Services, has the responsibility of advising the Client on the procurement of goods, works or services, the Consultant shall comply with any applicable procurement guidelines as per the prevailing Public Procurement Act and Regulations of the GoN (or of the Donors/funding agencies) and shall at all times exercise such responsibility in the best interest of the Client. Any discounts or commissions obtained by the Consultant in the exercise of such procurement responsibility shall be for the account of the Client.

### b. Consultant and Affiliates Not to Engage in Certain Activities

21.1.3 The Consultant agrees that, during the term of this Contract and after its termination, the Consultant and any entity affiliated with the Consultant, as well as any Sub-consultants and any entity affiliated with such Sub-consultants, shall be disqualified from providing goods, works or services (other than the Services and any continuation thereof) for any project resulting from or closely related to the Services.

### c. Prohibition of Conflicting Activities

21.1.4 The Consultant shall not engage, and shall cause their Personnel as well as its Sub-consultants and their Personnel not to engage, either directly or indirectly, in any of the following activities:

- a. during the term of this Contract, any business or professional activities in Nepal which would conflict with the activities assigned to them under this Contract; and
- b. after the termination of this Contract, such other activities as may be specified in the SCC

### d. Strict Duty to Disclose Conflicting Activities

21.1.5 The Consultant has an obligation and shall ensure that its Personnel and Sub-consultants shall have an obligation to disclose any situation of actual or potential conflict that impacts their capacity to serve the best interest of their Client, or that may reasonably be perceived as having this effect. Failure to disclose said situations may lead to the

termination of its Contract.

## 22. Conduct of Consultants

- 22.1 The Consultant shall be responsible to fulfill his obligations as per the requirement of the Contract Agreement, RFP documents and GoN's Procurement Act and Regulations.
- 22.2 The consultant shall not carry out or cause to carry out the following acts with an intention to influence the implementation of the procurement process or the contract agreement :
- (i) give or propose improper inducement directly or indirectly,
  - (ii) distortion or misrepresentation of facts
  - (iii) engaging or being involved in corrupt or fraudulent practice
  - (iv) Interference in participation of other prospective consultants.
  - (v) coercion or threatening directly or indirectly to impair or harm, any party or the property of the party involved in the procurement proceedings,
  - (vi) collusive practice among consultants before or after submission of proposals for distribution of works among consultants or fixing artificial/uncompetitive proposal price with an intention to deprive the Client the benefit of open competitive proposal price.
  - (vii) contacting the Client with an intention to influence the Client with regards to the proposals or interference of any kind in examination and evaluation of the proposals during the period after opening of proposals up to then notification of award of contract

## 23. Confidentiality

23.1 The Consultants, their Sub-consultants, and the Personnel of either of them shall not, either during the term or within two (2) years after the expiration of this Contract, disclose any proprietary or confidential information relating to the Project, the Services, this Contract, or the Client's business or operations without the prior written consent of the Client.

## 24. Liability of the Consultant

24.1 Subject to additional provisions, if any, set forth in the **SCC**, the Consultant's liability under this Contract shall be as determined under the Applicable Law.

## 25. Insurance to be Taken out by the Consultant

25.1 The Consultant (i) shall take out and maintain, and shall cause any Sub-consultants to take out and maintain, at its (or the Sub-consultants', as the case may be) own cost but on terms and conditions approved by the Client, insurance against the risks, and for the coverage specified in the **SCC**, and (ii) at the Client's request, shall provide evidence to the Client showing that such insurance has been taken out and

maintained and that the current premiums therefore have been paid. The Consultant shall ensure that such insurance is in place prior to commencing the Services as stated in Clause GCC 13.

25.2 The Consultant shall take out and maintain professional liability insurance within 30 days of signing of the contract agreement.

## 26. Accounting, Inspection and Auditing

26.1 The Consultant shall keep, and shall make all reasonable efforts to cause its Sub-consultants to keep, accurate and systematic accounts and records in respect of the Services and in such form and detail as will clearly identify relevant time changes and costs.

26.2. The Consultant shall permit and shall cause its Sub-consultants to permit, the Client/DP and/or persons appointed by the Client/DP to inspect the Site and/or all accounts and records relating to the performance of the Contract and the submission of the Proposal to provide the Services, and to have such accounts and records audited by auditors appointed by the Client/DP if requested by the Client/DP. The Consultant's attention is drawn to Clause GCC 10 which provides, inter alia, that acts intended to materially impede the exercise of the Client/DP's inspection and audit rights provided for under this Clause GCC26.2 constitute a prohibited practice subject to contract termination.

## 27. Reporting Obligations

27.1 The Consultant shall submit to the Client the reports and documents specified in **Appendix A**, in the form, in the numbers and within the time periods set forth in the said Appendix.

## 28. Proprietary Rights of the Client in Reports and Records

28.1 Unless otherwise indicated in the **SCC**, all reports and relevant data and information such as maps, diagrams, plans, databases, other documents and software, supporting records or material compiled or prepared by the Consultant for the Client in the course of the Services shall be confidential and become and remain the absolute property of the Client. The Consultant shall, not later than upon termination or expiration of this Contract, deliver all such documents to the Client, together with a detailed inventory thereof. The Consultant may retain a copy of such documents, data and/or software but shall not use the same for purposes unrelated to this Contract without prior written approval of the Client.

28.2 If license agreements are necessary or appropriate between the Consultant and third parties for purposes of development of the plans, drawings, specifications, designs, databases, other documents and software, the Consultant shall obtain the Client's prior written approval to such agreements, and the Client shall be entitled at its discretion to require recovering the expenses related to the development of the program(s) concerned. Other restrictions about the future use of these documents and software, if any, shall be

specified in the **SCC**.

## 29. Equipment, Vehicles and Materials

29.1 Equipment, vehicles and materials made available to the Consultant by the Client, or purchased by the Consultant wholly or partly with funds provided by the Client, shall be the property of the Client and shall be marked accordingly. Upon termination or expiration of this Contract, the Consultant shall make available to the Client an inventory of such equipment, vehicles and materials and shall dispose of such equipment, vehicles and materials in accordance with the Client's instructions. While in possession of such equipment, vehicles and materials, the Consultant, unless otherwise instructed by the Client in writing, shall insure them at the expense of the Client in an amount equal to their full replacement value.

29.2 Any equipment or materials brought by the Consultant or its Experts into the Client's country for the use either for the project or personal use shall remain the property of the Consultant or the Experts concerned, as applicable.

## D. CONSULTANT'S EXPERTS AND SUB-CONSULTANTS

### 30. Description of Key Experts

30.1 The title, agreed job description, minimum qualification and time-input estimates to carry out the Services of each of the Consultant's Key Experts are described in **Appendix B**.

### 31. Replacement of Key Experts

31.1 Except as the Client may otherwise agree in writing, no changes shall be made in the Key Experts.

31.2 Notwithstanding the above, the substitution of Key Experts during Contract execution may be considered only based on the Consultant's written request and due to circumstances outside the reasonable control of the Consultant, including but not limited to death or medical incapacity. In such case, the Consultant shall forthwith provide as a replacement, a person of equivalent or better qualifications and experience, and at the same rate of remuneration.

### 32. Removal of Experts or Sub-consultants

32.1 If the Client finds that any of the Experts or Sub-consultant has committed serious misconduct or has been charged with having committed a criminal action, or shall the Client determine that Consultant's Expert or Sub-consultant have engaged in corrupt, fraudulent, collusive, coercive *[or obstructive]* practice while performing the Services, the Consultant shall, at the Client's written request, provide a replacement.

32.2 In the event that any of Key Experts or Sub-consultants is found by the Client to be incompetent or incapable in discharging assigned duties, the Client, specifying the grounds therefore, may request the Consultant to provide a replacement.

32.3 Any replacement of the removed Experts or Sub-consultants shall possess better qualifications and experience and shall be



acceptable to the Client.

32.4 The Consultant shall bear all costs arising out of or incidental to any removal and/or replacement of such Experts.

#### E. OBLIGATIONS OF THE CLIENT

##### 33. Assistance and Exemptions

33.1 Unless otherwise specified in the **SCC**, the Client shall use its best efforts to:

- (a) Assist the Consultant with obtaining work permits and such other documents as shall be necessary to enable the Consultant to perform the Services.
- (b) Assist the Consultant with promptly obtaining, for the Experts and, if appropriate, their eligible dependents, all necessary entry and exit visas, residence permits, exchange permits and any other documents required for their stay in the Client's country while carrying out the Services under the Contract.
- (c) Facilitate prompt clearance through customs of any property required for the Services and of the personal effects of the Experts and their eligible dependents.
- (d) Issue to officials, agents and representatives of the Government all such instructions and information as may be necessary or appropriate for the prompt and effective implementation of the Services.
- (e) Assist the Consultant and the Experts and any Sub-consultants employed by the Consultant for the Services with obtaining exemption from any requirement to register or obtain any permit to practice their profession or to establish themselves either individually or as a corporate entity in the Client's country according to the applicable law in the Client's country.
- (f) Assist the Consultant, any Sub-consultants and the Experts of either of them with obtaining the privilege, pursuant to the applicable law in the Client's country, of bringing into the Client's country reasonable amounts of foreign currency for the purposes of the Services or for the personal use of the Experts and of withdrawing any such amounts as may be earned therein by the Experts in the execution of the Services.
- (g) Provide to the Consultant any such other assistance as may be specified in the **SCC**.

##### 34. Access to Project Site

34.1 The Client warrants that the Consultant shall have, free of charge, unimpeded access to the project site in respect of which access is required for the performance of the Services. The Client will be responsible for any damage to the project site or any property thereon resulting from such access and will indemnify the Consultant and each of the experts in respect of liability for any such damage,

unless such damage is caused by the willful default or negligence of the Consultant or any Sub-consultants or the Experts of either of them.

**35. Change in the Applicable Law Related to Taxes and Duties**

35.1 If, after the date of this Contract, there is any change in the applicable law in the Client's country with respect to taxes and duties which increases or decreases the cost incurred by the Consultant in performing the Services, then the remuneration and reimbursable expenses otherwise payable to the Consultant under this Contract shall be increased or decreased accordingly by agreement between the Parties hereto, and corresponding adjustments shall be made to the ceiling amounts specified in Clause GCC 39.1.

**36. Services, Facilities and Property of the Client**

36.1 The Client shall make available to the Consultant and the Experts, for the purposes of the Services and free of any charge, the services, facilities and property described in the Terms of Reference (**Appendix A**) at the times and in the manner specified in said **Appendix A**.

**37. Counterpart Personnel**

37.1 The Client shall make available to the Consultant free of charge such professional and support counterpart personnel, to be nominated by the Client with the Consultant's advice, if specified in **Appendix A**.

37.2 If counterpart personnel are not provided by the Client to the Consultant as and when specified in **Appendix A**, the Client and the Consultant shall agree on (i) how the affected part of the Services shall be carried out, and (ii) the additional payments, if any, to be made by the Client to the Consultant as a result thereof pursuant to Clause GCC 39.2

37.3 Professional and support counterpart personnel, excluding Client's liaison personnel, shall work under the exclusive direction of the Consultant. If any member of the counterpart personnel fails to perform adequately any work assigned to such member by the Consultant that is consistent with the position occupied by such member, the Consultant may request the replacement of such member, and the Client shall not unreasonably refuse to act upon such request.

**38. Payment Obligation**

38.1 In consideration of the Services performed by the Consultant under this Contract, the Client shall make such payments to the Consultant for the deliverables specified in **Appendix A** and in such manner as is provided by GCC F below.

**F. PAYMENTS TO THE CONSULTANT**

**39. Contract Price**

39.1 The Contract price is fixed and is set forth in the **SCC**. The Contract price breakdown is provided in **Appendix C**.

39.2 Any change to the Contract price specified in Clause 39.1 can be made only if the Parties have agreed to the revised scope of Services pursuant to Clause GCC 16 and have amended in writing



the Terms of Reference in **Appendix A.**

- |                                        |                                                                                                                                       |
|----------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------|
| <b>40. Taxes and Duties</b>            | 40.1 The Consultant, Sub-consultants and Experts are responsible for meeting any and all tax liabilities arising out of the Contract. |
| <b>41. Currency of Payment</b>         | 41.1 Any payment under this Contract shall be made in the currency (ies) specified in the <b>SCC.</b>                                 |
| <b>42. Mode of Billing and Payment</b> | 42.1 The total payments under this Contract shall not exceed the Contract price set forth in Clause GCC 39.1.                         |

42.2 The payments under this Contract shall be made in lump-sum installments against deliverables specified in **Appendix A**. The payments will be made according to the payment schedule stated in the **SCC**.

42.2.1 Advance payment: Unless otherwise indicated in the **SCC**, an advance payment shall be made against an advance payment bank guarantee acceptable to the Client in an amount (or amounts) and in a currency (or currencies) specified in the **SCC**. Such guarantee (i) is to remain effective until the advance payment has been fully set off, and (ii) is to be in the form set forth in **Appendix D**, or in such other form as the Client shall have approved in writing. The advance payments will be set off by the Client in equal portions against the lump-sum installments specified in the **SCC** until said advance payments have been fully set off.

42.2.2 The Lump-Sum Installment Payments. The Client shall pay the Consultant within sixty (60) days after the receipt by the Client of the deliverable(s) and the cover invoice for the related lump-sum installment payment. The payment can be withheld if the Client does not approve the submitted deliverable(s) as satisfactory in which case the Client shall provide comments to the Consultant within the same sixty (60) days period. The Consultant shall thereupon promptly make any necessary corrections, and thereafter the foregoing process shall be repeated.

42.2.3 The Final Payment .The final payment under this Clause shall be made only after the final report I have been submitted by the Consultant and approved as satisfactory by the Client. The Services shall then be deemed completed and finally accepted by the Client. The last lump-sum installment shall be deemed approved for payment by the Client within ninety (90) calendar days after receipt of the final report by the Client unless the Client, within such ninety (90) calendar day period, gives written notice to the Consultant specifying in detail deficiencies in the Services, the final report. The Consultant shall thereupon promptly make any necessary corrections, and thereafter the foregoing process shall be repeated.

42.2.4 All payments under this Contract shall be made to the accounts of the Consultant specified in the **SCC**.

42.2.5 With the exception of the final payment under 41.2.3 above, payments do not constitute acceptance of the whole Services nor relieve the Consultant of any obligations hereunder.

### 43. Retention

**43.1.** The Client shall retain from each payment due to the Consultant the proportion **stated in the SCC** until Completion of the

whole of the Works.

**43.2.** One half the total amounts retained shall be repaid to the Consultant at the time of the payment of the Final Bill pursuant to GCC Clause 42.2.3 and the remaining half shall be paid to the consultant within 15 days after submission of document issued by the concerned Internal Revenue Office that the consultant has submitted his Income Returns.

**44. Interest on Delayed Payments**

**44.1.** If the Client had delayed payments beyond fifteen (15) days after the due date stated in Clause GCC 42.2.2, interest shall be paid to the Consultant on any amount due by, not paid on, such due date for each day of delay at the annual rate stated in the SCC.

**45. Liquidated Damages**

**45.1.** The Consultant shall pay liquidated damages to the Client at the rate per day stated in the SCC for each day that the completion of services is later than the Completion Date. The total amount of liquidated damages shall not exceed the amount defined in the SCC. Beyond this limit the contract may be terminated by the Client. The Client may deduct liquidated damages from any payments due to the Consultant. Payment of liquidated damages shall not affect the Consultant's liabilities.

**G. FAIRNESS AND GOOD FAITH**

**46. Good Faith**

**46.1** The Parties undertake to act in good faith with respect to each other's rights under this Contract and to adopt all reasonable measures to ensure the realization of the objectives of this Contract.

**H. SETTLEMENT OF DISPUTES**

**47. Amicable Settlement**

**47.1** The Parties shall use their best efforts to settle amicably all disputes arising out of or in connection with this Contract or the interpretation thereof.

**47.2** If either Party objects to any action or inaction of the other Party, the objecting Party may file a written Notice of Dispute to the other Party providing in detail the basis of the dispute. The Party receiving the Notice of Dispute will consider it and respond in writing within fifteen (15) days after receipt. If that Party fails to respond within fifteen (15) days, or the dispute cannot be amicably settled within fifteen (15) days following the response of that Party, Clause GCC 48.1 shall apply.

**48. Dispute Resolution**

**48.1** Any dispute between the Parties arising under or related to this Contract that cannot be settled amicably within thirty (30) days after receipt by one party of the other Party's request for such amicable settlement may be referred to by either Party to the adjudication/arbitration in accordance with the provisions specified in the **SCC**.

## **I. BLACKLISTING**

### **49. Blacklisting**

49.1 Without prejudice to any other right of the Client under this Contract, Public Procurement Monitoring Office may blacklist a Consultant for his conduct up to three years on the following grounds and seriousness of the act committed by the consultant.

- a) if it is proved that the consultant committed acts pursuant to GCC 22..2,
- b) if the Consultant fails to sign an agreement pursuant to Information to Consultants Clause 29.3,
- c) if it is proved later that the Consultant has committed substantial defect in implementation of the contract or has not substantially fulfilled his obligations under the contract or the completed work is not of the specified quality as per the contract,
- d) if convicted by a court of law in a criminal offence which disqualifies the consultant from participating in the assignment.
- e) if it is proved that the contract agreement signed by the Consultant was based on false or misrepresentation of consultant's qualification information,
- f) if the consultant fails to submit the professional liability insurance within the period stipulated in the contract.

49.2 A Consultant declared blacklisted and ineligible by the Public procurement Office, and or concerned Donor Agency in case of donor funded project, shall be ineligible to participation the selection process during the period of time determined by the PPMO, and or the concerned donor agency.

### III. Special Conditions of Contract

| Number of GC Clause | Amendments of, and Supplements to, Clauses in the General Conditions of Contract                                                                                                                                                                                                                                                                                             |
|---------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 6.1 and 6.2         | <p><b>The addresses are:</b></p> <p>Client : <u>Gauriganga Municipality Office</u></p> <p>Attention : <u>Chief Administrative Officer</u></p> <p>E-mail (where permitted): <u>Info.gaurigangamun@gmail.com</u></p> <p>Consultant : _____</p> <p>Attention : _____</p> <p>Facsimile : _____</p> <p>E-mail : <u>info.gaurigangamun@gmail.com</u></p>                           |
| 8.1                 | <p><i>[Note: If the Consultant consists only of one entity, state "N/A"; OR<br/>If the Consultant is a Joint Venture consisting of more than one entity, the name of the JV member whose address is specified in Clause SCC 6.1 should be inserted here. ]</i></p> <p><b>The Lead Member on behalf of the JV is</b> _____<br/>         _____ [insert name of the member]</p> |
| 9.1                 | <p><b>The Authorized Representatives are:</b></p> <p><b>For the Client:</b> Chief Administrative Officer</p> <p><b>For the Consultant:</b> [name, title] _____</p>                                                                                                                                                                                                           |
| 12.1                | <p><b>Termination of Contract for Failure to Become Effective:</b></p> <p><b>The time period shall be 2 months.</b></p>                                                                                                                                                                                                                                                      |
| 13.1                | <p><b>Commencement of Services:</b></p> <p><b>The number of days shall be 7 days</b></p> <p>Confirmation of Key Experts' availability to start the Assignment shall be submitted to the Client in writing as a written statement signed by each Key Expert.</p>                                                                                                              |
| 14.1                | <p><b>Expiration of Contract:</b></p> <p><b>The time per shall be 2 months.</b></p>                                                                                                                                                                                                                                                                                          |

|       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
|-------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 21 b. | The Client reserves the right to determine whether the Consultant should be disqualified from providing goods, works or non-consulting services due to a conflict of a nature described in Clause GCC 21.1.3                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
| 24.1  | <p><b>No additional provisions.</b></p> <p><b>“Limitation of the Consultant’s Liability towards the Client:</b></p> <p><b>(a) Except in the case of gross negligence or will full misconduct on the part of the Consultant or on the part of any person or a firm acting on behalf of the Consultant in carrying out the Services, the Consultant, with respect to damage caused by the Consultant to the Client’s property, shall not be liable to the Client:</b></p> <p><b>(i) for any indirect or consequential loss or damage; and</b></p> <p><b>(ii) for any direct loss or damage that exceeds (A) the total payments for professional fees and reimbursable expenditures made or expected to be made to the Consultants hereunder, or (B) the proceeds the Consultants may be entitled to receive from any insurance maintained by the Consultants to cover such a liability, whichever of (A) or (B) is higher;</b></p> <p><b>(b) This limitation of liability shall not</b></p> <p><b>(i) affect the Consultant’s liability, if any, for damage to Third Parties caused by the Consultant or any person or firm acting on behalf of the Consultant in carrying out the Services;</b></p> <p><b>(ii) be construed as providing the Consultant with any limitation or</b></p> |

|                         |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
|-------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                         | exclusion from liability which is prohibited by the Applicable Law of the Client's country.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
| 25.1                    | <p><b>The insurance coverage against the risks shall be as follows:</b></p> <p>[Note: Delete what is not applicable except (a)].</p> <p><b>(a) Professional liability insurance, with a minimum coverage of Contract amount</b></p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
| 28.1                    | <i>[Note: If applicable, insert any exceptions to proprietary rights provision_____]</i>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
| 28.2                    | <p><i>[Note: If there is to be no restriction on the future use of these documents by either Party, this Clause SCC 27.2 should be deleted. If the Parties wish to restrict such use, any of the following options, or any other option agreed to by the Parties, could be used:</i></p> <p><b>[The Consultant shall not use these [insert what applies.....documents and software.....] for purposes unrelated to this Contract without the prior written approval of the Client.]</b></p> <p>OR</p> <p><b>[The Client shall not use these [insert what applies.....documents and software.....] for purposes unrelated to this Contract without the prior written approval of the Consultant.]</b></p> <p>OR</p> <p><b>[Neither Party shall use these [insert what applies.....documents and software.....] for purposes unrelated to this Contract without the prior written approval of the other Party.]</b></p> |
| 33.1<br>(a) through (f) | <i>[Note: List here any changes or additions to Clause GCC 33.1. If there are no such changes or additions, delete this Clause SCC 33.1.]</i>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
| 33.1(g)                 | <i>[Note: List here any other assistance to be provided by the Client. If there is no such other assistance, delete this Clause SCC 33.1(g).]</i>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
| 39.1                    | <p><b>The Contract price is: _as per agreement [insert amount and currency for each currency] [indicate: inclusive or exclusive] of Value Added Tax (VAT).</b></p> <p><b>VAT chargeable in respect of this Contract for the Services provided by the Consultant shall [insert as appropriate: "be paid" or</b></p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |

|               |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
|---------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|               | <b>“reimbursed”] by the Client [insert as appropriate: “for” or “to”] the Consultant.</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
| <b>42.2</b>   | <p><b>The payment schedule:</b></p> <p><b>Final payment: After report approval by Karyapalika, demarcation of Site and preparation of initial Survey map.</b></p> <p><b>[Note:</b> Total sum of all installments shall not exceed the Contract price set up in SCC39.1.]</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
| <b>42.2.1</b> | <p><b>[Note:</b> The advance payment could be in either the foreign currency, or the local currency, or both; select the correct wording in the Clause here below. The advance bank payment guarantee should be in the same currency(ies)]</p> <p>The following provisions shall apply to the advance payment and the advance bank payment guarantee:</p> <p>(1) An advance payment [of [insert amount] in foreign currency] [and of [insert amount] in local currency] shall be made within [insert number] days after the receipt of an advance bank payment guarantee by the Client. The advance payment will be set off by the Client in equal portions against [list the payments against which the advance is offset].</p> <p>(2) The advance payment bank payment guarantee shall be in the amount and in the currency of the currency(ies) of the advance payment.</p> <p><b>[Note:</b> Advance payment provision can be included only for donor funded projects according to their guidelines. There is no provision of advance payment to consultant in the public procurement act/regulation. In case of GoN funded project, insert “Not Applicable” in place of the above text.]</p> |
| <b>42.2.4</b> | <p><b>The accounts are:</b></p> <p>for foreign currency: [insert account].</p> <p>for local currency: [insert account].</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
| <b>43.1</b>   | The proportion of payments retained is:                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
| <b>44.1</b>   | <b>The interest rate is:</b> prevailing rate by NRB.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
| <b>45.1</b>   | The liquidated damage is: 0.05%per day.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |



|     |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
|-----|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|     | The maximum amount of liquidated damages is: 10% of the sum stated in the Agreement.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
| 48. | <p><b>(a) Contracts with foreign consultants:</b></p> <p><b>Disputes shall be settled by arbitration in accordance with the following provisions:</b></p> <ol style="list-style-type: none"> <li>1. <u>Selection of Arbitrators.</u> Each dispute submitted by a Party to arbitration shall be heard by a sole arbitrator or an arbitration panel composed of three (3) arbitrators, in accordance with the following provisions:           <ol style="list-style-type: none"> <li>(a) Where the Parties agree that the dispute concerns a technical matter, they may agree to appoint a sole arbitrator or, failing agreement on the identity of such sole arbitrator within thirty (30) days after receipt by the other Party of the proposal of a name for such an appointment by the Party who initiated the proceedings, either Party may apply to <i>[name an appropriate international professional body, e.g., the Federation Internationale des Ingenieurs-Conseil (FIDIC) of Lausanne, Switzerland]</i> for a list of not fewer than five (5) nominees and, on receipt of such list, the Parties shall alternately strike names therefrom, and the last remaining nominee on the list shall be the sole arbitrator for the matter in dispute. If the last remaining nominee has not been determined in this manner within sixty (60) days of the date of the list, <i>[insert the name of the same professional body as above]</i> shall appoint, upon the request of either Party and from such list or otherwise, a sole arbitrator for the matter in dispute.</li> <li>(b) Where the Parties do not agree that the dispute concerns a technical matter, the Client and the Consultant shall each appoint one (1) arbitrator, and these two arbitrators shall jointly appoint a third arbitrator, who shall chair the arbitration panel. If the arbitrators named by the Parties do not succeed in appointing a third arbitrator within thirty (30) days after the latter of the two (2) arbitrators named by the Parties has been appointed, the third arbitrator shall, at the request of either Party, be appointed by <i>[name an appropriate international appointing authority, e.g., the Secretary General of the Permanent Court of Arbitration, The Hague; the Secretary General of the International Centre for Settlement of Investment Disputes, Washington, D.C.; the International Chamber of Commerce, Paris; etc.]</i>.</li> <li>(c) If, in a dispute subject to paragraph (b) above, one Party fails to appoint its arbitrator within thirty (30) days after the other Party has appointed its arbitrator, the Party which has</li> </ol> </li> </ol> |

|  |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
|--|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|  | <p>named an arbitrator may apply to the <i>[name the same appointing authority as in said paragraph (b)]</i> to appoint a sole arbitrator for the matter in dispute, and the arbitrator appointed pursuant to such application shall be the sole arbitrator for that dispute.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
|  | <p>2. <u>Rules of Procedure.</u> Except as otherwise stated herein, arbitration proceedings shall be conducted in accordance with the rules of procedure for arbitration of the United Nations Commission on International Trade Law (UNCITRAL) as in force on the date of this Contract.</p> <p>3. <u>Substitute Arbitrators.</u> If for any reason an arbitrator is unable to perform his/her function, a substitute shall be appointed in the same manner as the original arbitrator.</p> <p>4. <u>Nationality and Qualifications of Arbitrators.</u> The sole arbitrator or the third arbitrator appointed pursuant to paragraphs 1(a) through 1(c) above shall be an internationally recognized legal or technical expert with extensive experience in relation to the matter in dispute and shall not be a national of the Consultant's home country <b>[Note:</b> If the Consultant consists of more than one entity, add: or of the home country of any of their members or Parties] or of the Government's country. For the purposes of this Clause, "home country" means any of:</p> <p>(a) the country of incorporation of the Consultant <b>[Note:</b> If the Consultant consists of more than one entity, add: or of any of their members or Parties]; or</p> <p>(b) the country in which the Consultant's [or any of their members' or Parties'] principal place of business is located; or</p> <p>(c) the country of nationality of a majority of the Consultant's [or of any members' or Parties'] shareholders; or</p> <p>(d) the country of nationality of the Sub-consultants concerned, where the dispute involves a subcontract.</p> |
|  | <p>5. <u>Miscellaneous.</u> In any arbitration proceeding hereunder:</p> <p>(a) proceedings shall, unless otherwise agreed by the Parties, be held in <i>[select a country which is neither the Client's country nor the Consultant's country]</i>;</p> <p>(b) the <i>[type of language]</i> language shall be the official language for all purposes; and</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |

---

60

## IV. Appendices

### APPENDIX A – TERMS OF REFERENCE

**[Note:** This Appendix shall include the final Terms of Reference (TORs) worked out by the Client and the Consultant during the negotiations; dates for completion of various tasks; location of performance for different tasks; detailed reporting requirements and list of deliverables against which the payments to the Consultant will be made; Client's input, including counterpart personnel assigned by the Client to work on the Consultant's team; specific tasks or actions that require prior approval by the Client.

Insert the text based on the Section 7 (Terms of Reference) of the ITC in the RFP and modified based on the Forms TECH-1 through TECH-5 in the Consultant's Proposal. Highlight the changes to Section 5 of the RFP]

### APPENDIX B - KEY EXPERTS

[Insert a table based on Form TECH-6 of the Consultant's Technical Proposal and finalized at the Contract's negotiations. Attach the CVs (updated and signed by the respective Key Experts) demonstrating the qualifications of Key Experts.]

[Specify Hours of Work for Key Experts: List here the hours of work for Key Experts; travel time to/ from the Client's country; public holidays etc. Make sure there is consistency with Form TECH-6. In particular: one month equals twenty five (25) working (billable) days. One working (billable) day shall be not less than seven (7) working (billable) hours (total 40 hours a week). ]

### APPENDIX C – BREAKDOWN OF CONTRACT PRICE

{Insert the table with the unit rates to arrive at the breakdown of the lump-sum price. The table shall be based on [Form FIN-3 and FIN-4] of the Consultant's Proposal and reflect any changes agreed at the Contract negotiations, if any. The footnote shall list such changes made to [Form FIN-3 and FIN-4] at the negotiations or state that none has been made.}

**Model Form I**  
**Breakdown of Agreed Fixed Rates in Consultant's Contract**

We hereby confirm that we have agreed to pay to the Experts listed, who will be involved in performing the Services, the basic fees and away from the home office allowances (if applicable) indicated below:

(Expressed in [insert name of currency])\*

| Experts                      |          | 1                                                  | 2                           | 3                     | 4        | 5                   | 6                               | 7                                            | 8                                                         |
|------------------------------|----------|----------------------------------------------------|-----------------------------|-----------------------|----------|---------------------|---------------------------------|----------------------------------------------|-----------------------------------------------------------|
| Name                         | Position | Basic Remuneration rate per Working Month/Day/Year | Social Charges <sup>1</sup> | Overhead <sup>1</sup> | Subtotal | Profit <sup>2</sup> | Away from Home Office Allowance | Agreed Fixed Rate per Working Month/Day/Hour | Agreed Fixed Rate per Working Month/Day/Hour <sup>1</sup> |
| Home Office                  |          |                                                    |                             |                       |          |                     |                                 |                                              |                                                           |
|                              |          |                                                    |                             |                       |          |                     |                                 |                                              |                                                           |
| Work in the Client's Country |          |                                                    |                             |                       |          |                     |                                 |                                              |                                                           |
|                              |          |                                                    |                             |                       |          |                     |                                 |                                              |                                                           |

<sup>1</sup> Expressed as percentage of 1

<sup>2</sup> Expressed as percentage of 4

\* If more than one currency, add a table

Signature \_\_\_\_\_

Date \_\_\_\_\_

Name and Title: \_\_\_\_\_

## APPENDIX D - FORM OF ADVANCE PAYMENTS GUARANTEE

[See Clause GCC 42.2.1]

### Bank Guarantee for Advance Payment

**Guarantor:** \_\_\_\_\_ [insert commercial Bank's Name, and Address of Issuing Branch or Office]

**Beneficiary:** \_\_\_\_\_ [name and address of Client]

**Date:** \_\_\_\_\_ [insert date]

**ADVANCE PAYMENT GUARANTEE No.:** \_\_\_\_\_ [insert number]

We have been informed that \_\_\_\_\_ [name of Consultant or a name of the Joint Venture, same as appears on the signed Contract] (hereinafter called "the Consultant") has entered into Contract No. \_\_\_\_\_ [reference number of the contract] dated \_\_\_\_\_ [insert date] with the Beneficiary, for the provision of \_\_\_\_\_ [brief description of Services] (hereinafter called "the Contract").

Furthermore, we understand that, according to the conditions of the Contract, an advance payment in the sum of \_\_\_\_\_ [insert amount in figures] () [amount in words] is to be made against an advance payment guarantee.

At the request of the Consultant, we, as Guarantor, hereby irrevocably undertake to pay the Beneficiary any sum or sums not exceeding in total an amount of \_\_\_\_\_ [amount in figures] () [amount in words]<sup>1</sup> upon receipt by us of the Beneficiary's complying demand supported by the Beneficiary's written statement, whether in the demand itself or in a separate signed document accompanying or identifying the demand, stating that the Consultant is in breach of their obligation under the Contract because the Consultant has failed to repay the advance payment in accordance with the Contract conditions, specifying the amount which the Consultant has failed to repay.

It is a condition for any claim and payment under this guarantee to be made that the advance payment referred to above must have been received by the Consultant on their account number \_\_\_\_\_ at \_\_\_\_\_ [name and address of bank].

The maximum amount of this guarantee shall be progressively reduced by the amount of the advance payment repaid by the Consultant as indicated in certified statements or invoices marked as "paid" by the Client which shall be presented to us. This guarantee

<sup>1</sup> The Guarantor shall insert an amount representing the amount of the advance payment and denominated either in the currency(ies) of the advance payment as specified in the Contract, or in a freely convertible currency acceptable to the Client.

shall expire, at the latest, upon our receipt of the payment certificate or paid invoice indicating that the Consultant has made full repayment of the amount of the advance payment, or on the \_\_\_ day of \_\_\_\_\_ [month], \_\_\_\_\_ [year],<sup>2</sup> whichever is earlier. Consequently, any demand for payment under this guarantee must be received by us at this office on or before that date.

This guarantee is subject to the Uniform Rules for Demand Guarantees (URDG) 2010 revision, ICC Publication No. 758.

\_\_\_\_\_  
[signature(s)]

*Note: All italicized text is for indicative purposes only to assist in preparing this form and shall be deleted from the final product.*

<sup>2</sup> Insert the expected expiration date. In the event of an extension of the time for completion of the Contract, the Client would need to request an extension of this guarantee from the Guarantor. Such request must be in writing and must be made prior to the expiration date established in the guarantee. In preparing this guarantee, the Client might consider adding the following text to the form, at the end of the penultimate paragraph: "The Guarantor agrees to a one-time extension of this guarantee for a period not to exceed [six months][one year], in response to the Client's written request for such extension, such request to be presented to the Guarantor before the expiry of the guarantee."